



**THE SECURITIES ACT (AUSTRALIAN UNIT TRUSTS)
EXEMPTION NOTICE 1993, AMENDMENT NO. 9**

PURSUANT to the Securities Act 1978, the Securities Commission gives the following notice.

NOTICE

1. Title and commencement—(1) This notice may be cited as the Securities Act (Australian Unit Trusts) Exemption Notice 1993, Amendment No. 9, and shall be read together with and deemed part of the Securities Act (Australian Unit Trusts) Exemption Notice 1993* (hereinafter referred to as the principal notice).

(2) This notice shall come into force on the day after the date of its notification in the *Gazette*.

2. New Schedule to principal notice—The principal notice is hereby amended by revoking the Schedule thereto (as substituted by the Securities Act (Australian Unit Trusts) Exemption Notice 1993, Amendment No. 6 and amended by the Securities Act (Australian Unit Trusts) Exemption Notice 1993, Amendment No. 7 and the Securities Act (Australian Unit Trusts) Exemption Notice 1993, Amendment No. 8), and substituting the Schedule set out in the First Schedule to this notice.

3. Revocations—The notices specified in the Second Schedule to this notice are hereby consequentially revoked.

*S.R. 1993/26

Amendment No. 1: *Revoked by S.R. 1993/259*
Amendment No. 2: S.R. 1993/154
Amendment No. 3: *Revoked by S.R. 1993/400*
Amendment No. 4: *Revoked by S.R. 1993/400*
Amendment No. 5: *Revoked by S.R. 1993/400*
Amendment No. 6: S.R. 1993/400
Amendment No. 7: S.R. 1994/17
Amendment No. 8: S.R. 1994/77

SCHEDULES

FIRST SCHEDULE

Cl. 2

NEW SCHEDULE TO PRINCIPAL NOTICE

“SCHEDULE

Advance Asset Management Limited
Alliance Capital Management Australia Limited
ANZ Managed Investments Limited
Barclays de Zoete Wedd Investment Management Australia Limited
BT Financial Services Limited
Colonial Mutual Funds Limited
Colonial Mutual Funds Management Limited
CS First Boston Australia Investment Management Limited
EquitiLink Australia Limited
First State Fund Managers Limited
Global Funds Management (Vic) Limited
Growth Equities Mutual Limited
GRW Property Limited
G.T. Management (Australia) Limited
Jardine Fleming Australia Management Limited
J.B. Were Capital Markets Limited
Macquarie Investment Management Limited
Mercantile Mutual Funds Management Limited
Mirvac Funds Limited
MLC Investments Limited
National Australia Fund Management Limited
National Mutual Assets Management Limited
Ord Minnett Management Limited
Perpetual Asset Management Limited
Potter Warburg Asset Management Limited
Potter Warburg Cash Management Limited
Rothschild Australia Asset Management Limited
Thornton Management (Australia) Limited
Were Securities Limited”

Cl. 3

SECOND SCHEDULE
NOTICES REVOKED

Notice	Statutory Regulations Serial Number
The Securities Act (Australian Unit Trusts) Exemption Notice 1993, Amendment No. 6	S.R. 1993/400
The Securities Act (Australian Unit Trusts) Exemption Notice 1993, Amendment No. 7	S.R. 1994/17
The Securities Act (Australian Unit Trusts) Exemption Notice 1993, Amendment No. 8	S.R. 1994/77

Dated at Wellington this 18th day of May 1994.

The Common Seal of the Securities Commission was hereunto affixed in the presence of:

[L.S.]

M. R. H. WEBB,
Member.

EXPLANATORY NOTE

This note is not part of the notice, but is intended to indicate its general effect.

This notice, which comes into force on the day after the date of its notification in the *Gazette*, replaces the First Schedule to the Securities Act (Australian Unit Trusts) Exemption Notice 1993. The First Schedule contains a list of Australian issuers to which the principal notice applies.

The notice adds Alliance Capital Management Australia Limited to the list of Australian issuers, omits Prudential Fund Managers Limited and Westpac Financial Services Limited from the list, and substitutes Barclays de Zoete Wedd Investment Management Australia Limited for Barclays Australia Investment Services Limited as a consequence of the change of that company's name.

Issued under the authority of the Acts and Regulations Publication Act 1989.

Date of notification in *Gazette*: 19 May 1994.

This notice is administered in the Securities Commission.