



Securities Act (United States Issuers Employee Stock Purchase Plans) Exemption Amendment Notice 2001

Pursuant to the Securities Act 1978, the Securities Commission gives the following notice.

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Notice

1 Title

- (1) This notice is the Securities Act (United States Issuers Employee Stock Purchase Plans) Exemption Amendment Notice 2001.
- (2) In this notice, the Securities Act (United States Issuers Employee Stock Purchase Plans) Exemption Notice 1997¹ is called “the principal notice”.

¹ SR 1997/255

2 Commencement

This notice comes into force on the day after the date of its notification in the *Gazette*.

3 Interpretation

- (1) Clause 2(1) of the principal notice is amended by omitting from paragraph (c) of the definition of the term **savings scheme issuer** the words “the Schedule”, and substituting the words “Schedule 1”.

- (2) Clause 2(1) of the principal notice is amended by omitting the definition of the term **United States issuer**, and substituting the following definition:

“**United States issuer** means a company—

“(a) that is named in Schedule 2; or

“(b) that is incorporated under the laws of New Zealand or the United States of America and that has its shares or common stock—

“(i) listed on the New York Stock Exchange or the American Stock Exchange; or

“(ii) traded on the NASDAQ National Market”.

4 Schedules

The principal notice is amended by revoking the Schedule, and substituting the Schedules set out in the Schedule of this notice.

cl 4

Schedule **New schedules substituted**

cl 2(1)

Schedule 1 **Savings scheme issuers**

Halifax Building Society

cl 2(1)

Schedule 2 **United States issuers**

Accenture Limited

Dated at Wellington this 28th day of August 2001.

The Common Seal of the Securities Commission was affixed in the presence of:

[L.S.]

M. R. H. Webb,
Chairperson.

Explanatory note

This note is not part of the notice, but is intended to indicate its general effect.

This notice, which comes into force on the day after the date of its notification in the *Gazette*, amends the Securities Act (United States Issuers Employee Stock Purchase Plans) Exemption Notice 1997.

The amendment extends the definition of the term **United States issuer** to include any company that is named in the *new Schedule 2*.

Issued under the authority of the Acts and Regulations Publication Act 1989.

Date of notification in *Gazette*: 30 August 2001.

This notice is administered in the Securities Commission.
