



Securities Act (Bonus Bonds) Exemption Notice 2001

Pursuant to the Securities Act 1978, the Securities Commission gives the following notice.

Contents

1	Title	4	Interpretation
2	Commencement	5	Exemption
3	Expiry		

Notice

1 Title

This notice is the Securities Act (Bonus Bonds) Exemption Notice 2001.

2 Commencement

This notice comes into force on the day after the date of its notification in the *Gazette*.

3 Expiry

This notice expires on the close of 11 May 2006.

4 Interpretation

(1) In this notice, unless the context otherwise requires,—

Act means the Securities Act 1978

approved unit trust means an approved unit trust within the meaning of section 3(1) of the Finance Act (No 2) 1990

bonus bonds means units in an approved unit trust

draw means a ballot for the distribution of prizes to the holders of units

Regulations means the Securities Regulations 1983.

- (2) Any term or expression that is defined in the Act or the Regulations and used, but not defined, in this notice has the same meaning as in the Act or the Regulations.

5 Exemption

- (1) ANZ Investment Services (New Zealand) Limited and every person acting on its behalf are exempted from regulation 17(1) of the Regulations in respect of any advertisement for bonus bonds.
- (2) The exemption is subject to the condition that the only difference between the advertisement and another advertisement in respect of which a certificate that complies with regulation 17(2) of the Regulations has been completed is that 1 or more of the following matters shown in one of the advertisements differs from 1 or more of those matters shown in the other advertisement:
- (a) the number of the draw:
 - (b) the date of the draw:
 - (c) the total number of prizes in the draw:
 - (d) the total dollar amount of the prizes in the draw:
 - (e) the dollar amount of any prize in the draw:
 - (f) the number of prizes of a specified dollar amount in the draw:
 - (g) the winning bonus bond numbers in the draw:
 - (h) the date of the next draw.

Dated at Wellington this 7th day of May 2001.

The Common Seal of the Securities Commission was affixed in the presence of:

[L.S.]

E. H. Abernethy,
Chairman.

Explanatory note

This note is not part of the notice, but is intended to indicate its general effect.

This notice, which comes into force on the day after its notification in the *Gazette* and expires on 11 May 2006, exempts ANZ Investment Services (New Zealand) Limited, subject to a condition, from regulation 17(1) of the Securities Regulations 1983.

Issued under the authority of the Acts and Regulations Publication Act 1989.

Date of notification in *Gazette*: 10 May 2001.

This notice is administered in the Securities Commission.
