## AS REPORTED FROM THE PLANNING AND DEVELOPMENT COMMITTEE

House of Representatives, 6 December 1988.

Words struck out are shown in italics within bold round brackets, or with black rule at beginning and after last line; words inserted are shown in roman underlined with a single rule, or with single rule before first line and after last line.

[As Reported From the Committee of the Whole House] House of Representatives, 20 April 1989.

Words struck out are shown in italics within double bold round brackets; words inserted are shown in ron.an underlined with a double rule.

### Hon. Helen Clark

## **TRADE IN ENDANGERED SPECIES**

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### A BILL INTITULED

### An Act to further the protection and conservation of endangered species of wild fauna and flora by regulating the export and import of such species and any product derived from those species 5

BE IT ENACTED by the Parliament of New Zealand as follows:

**1. Short Title and commencement**—(1) This Act may be cited as the Trade in Endangered Species Act 1988.

(2) This Act shall come into force on the 1st day of (October 1988.) ((April)) June 1989. 10

2. Object of Act—The object of this Act is to enable New Zealand to fulfil its obligations under the Convention on International Trade in Endangered Species of Wild Fauna and Flora and to promote the management, conservation, and protection of endangered, threatened, and exploited species to 15 further enhance the survival of those species.

**3. Interpretation**—(1) In this Act, unless the context otherwise requires,-

"Aerodrome" means any defined area of land or water intended or designed to be used either wholly or 20 partly for the landing, departure, movement, and servicing of aircraft, and includes any buildings, installations, and equipment on or adjacent to any such area used in connection with the aerodrome or its administration: 25

"Aircraft" means any machine that can derive support in the atmosphere from the reaction of the air:

"Animal" means any member of the animal kingdom, including without limitation any mammal, fish, bird, amphibian, reptile, mollusc, arthropod, or other 30 invertebrate, but does not include human beings:

"Convention" means the Convention on International Trade in Endangered Species of Wild Fauna and Flora

signed at Washington, D.C., on the 3rd day of March 1973:

"Cruel treatment", in relation to any animal, means the infliction upon the animal of pain or suffering that in

- its kind or degree, or in its object, or in the circumstances in which it is inflicted, is unreasonable or unnecessary:
- "Department" means the Department of Conservation:
- "Director-General" means the Director-General of Conservation:
  - "Dwellinghouse" means any building or part of a building that is suitable for residential accommodation of any kind; and includes every garage, shed, and other building used in connection therewith, but does not include the land appurtenant to a dwellinghouse:
  - "Endangered species" means any species endangered by trade, being the species specified in the First Schedule to this Act:
  - "Exploited species" means any species exploited by trade, being the species specified in the Third Schedule to this Act:
  - "Export" means the shipment of any specimen in any vehicle to a country outside New Zealand; but does not include the re-export of any specimen or the shipment in transit of any specimen outside New Zealand:
  - "Import" means the shipment of any specimen in any vehicle into the territorial limits of New Zealand; but does not include the introduction from the sea of any specimen <u>or the shipment in transit of any specimen</u> <u>into the territorial limits of New Zealand</u>:

"Introduce from the sea" means introduce into New Zealand a specimen from any marine environment not under the jurisdiction of any country:

"Management authority" means,—

(a) In relation to New Zealand, the Director-General; and

(b) In relation to any other country, the management authority appointed by that country for the purposes of the Convention:

"Minister" means the Minister of Conservation:

"Officer" means an Endangered Species Officer declared or appointed as such under section 35 of this Act:

"Personal or household effect" means any article of household or personal use or ornament:

"Plant" means any angiosperm, gymnosperm, fern, or fern ally; and includes any moss, liverwort, alga,

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including cyanophyte, lichen, fungus, or related organism:

"Port" means any defined area of land and water intended or designed to be used either wholly or partly for the berthing, departure, movement, and servicing of 5 ships; and includes any buildings, installations, and equipment on or adjacent to any such area used in connection with the port or its administration:

"Re-export" means the export of any specimen that has previously been imported, whether or not in the same 10 form as at the time of its importation:

"Scientific authority" means a scientific authority specified in section 7 of this Act:

"Ship" means any kind of vessel used in navigation, not propelled by oars only: 15

"Species" means any species, sub-species, variety, form, or geographically separate population thereof:

"Specimen" means-

(a) Any animal or plant, whether alive or dead; or

(b) Any recognisable part or derivative thereof: 20 "Threatened species" means any species threatened by trade, being the species specified in the **Second** Schedule to this Act:

"Trade" means export, import, re-export, or introduce from the sea:

"Vehicle" means any means of transport, whether or not self-propelled, that may travel by land, sea, or air; and includes any aircraft or ship.

(2) Every specimen of an endangered species,---

(a) In the case of an animal, bred in captivity; or 30 (b) In the case of a plant, artificially propagated—

((for a commercial purpose,)) shall, for the purposes of this Act, be deemed a specimen of a threatened species.

(3) Any reference to the appropriate management or scientific authority shall mean the management or scientific 35 authority determined by the Director General to have statutory responsibility for or the scientific competence to comment on the species under consideration.

(4) Any reference to the relevant authority of a country shall be read as a reference,— 40

(a) Where the country is a party to the Convention, to the appropriate management authority of that country; or

- (b) Where the country is not a party to the Convention, to the competent authorities of that country within the meaning of Article X of the Convention.
- 4. Act to bind the Crown—This Act shall bind the Crown.

5 **5.** No derogation from other enactments—The provisions of this Act are in addition to and not in substitution for the provisions of any other Acts in relation to the export or import of, or trade in, any goods, and do not affect the exercise of any power in those Acts in relation to any item of goods.

### Administration

**6.** Administration of Act—Subject to the control of the Minister, the Director-General shall be responsible for the general administration of this Act.

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- 15 7. Scientific authorities—For the purposes of this Act,—(a) The Department of Conservation:
  - (b) The Department of Scientific and Industrial Research:
  - (c) The Ministry of Agriculture and Fisheries:
  - (d) The Ministry of Forestry:

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20 (e) Such person or body that the Minister determines, shall be a scientific authority.

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7. Scientific authorities—(1) For the purposes of this Act the Minister shall appoint a committee, to be known as the 25 Scientific Authorities Committee, which shall consist of representatives of—

(a) The Department of Conservation:

- (b) The Department of Scientific and Industrial Research:
- (c) The Ministry of Agriculture and Fisheries:

(d) The Ministry of Forestry:

(e) Such person or body that the Minister determines,—

and shall be a scientific authority for the purposes of this Act. (2) The Scientific Authorities Committee may co-opt additional members and may delegate its function as a

35 scientific authority to any subcommittee consisting of one or

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more members of the Committee, including co-opted members.

(3) Subject to this Act, the Committee may regulate its procedure in such manner as it thinks fit.

8. Minister's general powers—In addition to any other powers in this Act, the Minister shall have the following powers:

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L	F	promote the management, conservation, and protection of endangered, threatened, and exploited 10 pecies to further enhance the survival of those pecies:	)
	v c	onduct research and investigations into and surveys of species in New Zealand— (i) That are, or are likely to become, threatened 15 with extinction; or (ii) The existence of which is likely to be affected,— by trade in specimens of those species:	
	(c) To d	isseminate information relating to the import and export of endangered, threatened, and exploited 20 pecies.	1

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**9. Delegation of powers by Minister**—(1) The Minister may from time to time, by writing under the Minister's hand, either generally or particularly, and either unconditionally or 25 subject to any conditions the Minister thinks fit, delegate to the Director-General all or any of the Minister's powers under this Act, other than the power of delegation conferred on the Minister by this subsection.

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(2) Subject to—

 (a) The conditions (if any) subject to which any power was delegated under subsection (1) of this section; and

(b) Any general or special directions given by the Minister, the Director-General may exercise the power in the same manner and with the same effect as if it had been conferred on 35

the Director-General directly by this section and not by delegation.

(3) Every delegation under subsection (1) of this section shall be 5 revocable at will, and until revoked shall continue in force according to its tenor, and,—

- (a) If the Minister by whom it was made ceases to hold office, it shall continue to have effect as if made by the Minister for the time being:
- 10 (b) Subject to paragraph (c) of this subsection, if the Director-General to whom it was made ceases to hold office, it shall continue to have effect as if made to the Director-General for the time being:
  - (c) If there is no Director General for the time being, or if the
    - Director-General is absent from duty, it shall continue to have effect as if made to the person for the time being acting in place of the Director-General or to a Deputy Director-General (as the case requires).
- (4) In the absence of proof to the contrary, where the20 Director-General, a Deputy Director-General, or any person acting in place of the Director-General purports to act pursuant to a delegation under subsection (1) of this section, the action concerned shall be presumed to be in accordance with the delegation.
- 25 (5) No delegation under subsection (1) of this section shall affect or prevent the exercise of any power by the Minister.

10. Delegation of powers by Director-General— (1) Subject to subsection (2) of this section, the Director-General may from time to time, by writing under the Director-General's 30 hand, either generally or particularly, and either unconditionally or subject to any conditions the Director-General thinks fit, delegate to such official or officials or employee or employees of the Department or Endangered Species Officers as the Director-General thinks fit all or any of

35 the powers exercisable by the Director General under this Act, including any power delegated to the Director General under this Act.

(2) The Director-General shall keep at the Director-General's office a copy of every instrument by which a delegation under

40 subsection (1) of this section is effected, and shall permit any person to inspect any such copy.

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- (3) The Director-General shall not—
- (a) Delegate the power of delegation conferred on the Director General by subsection (1) of this section; or
- (b) Without the written consent of the Minister delegate any 5 power delegated to the Director-General by the Minister.
- (4) Subject to—
- (a) The conditions (if any) pursuant to which any power was delegated under subsection (1) of this section; and 10
- (b) Any general or special directions given by the Director-General,—

every person to whom any powers are delegated under subsection (1) of this section may exercise those powers in the same manner and with the same effect as if they had been 15 conferred on that person directly and not by delegation.

- (5) A delegation under this section may be made to—
- (a) A specified person:
- (b) The holder for the time being of a specified office:
- (c) Officials or employees of the Department of any specified 20 class or classes:
- (d) The holders for the time being of any specified offices, or class or classes of office.

(6) Every delegation under subsection (1) of this section shall be revocable at will but its revocation shall not take effect until it 25 has been communicated to the delegate, and until revoked it shall continue in force according to its tenor; and if the Director-General by whom it was made ceases to hold office, it shall continue to have effect as if made—

(a) By the Director-General for the time being; or

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(b) If there is no Director General for the time being, by the person for the time being acting in place of the Director General or by a Deputy Director General (as the case requires).

(7) Where the Director-General or a delegate of the Director- 35 General uses a written document to inform any other person of an action taken by a delegate of the Director-General, the document—

(a) Shall state that the action was taken by a delegate of the Director-General; and

(b) Shall give the delegate's name and office; and

- (c) Shall inform the other person that a copy of the instrument of delegation may be inspected at the Director-General's office.
- 5 (8) No delegation under subsection (1) of this section shall affect or prevent the exercise of any power by the Director-General.

### PART I

TRADE IN ENDANGERED, THREATENED, AND EXPLOITED SPECIES

- 11. Trade in endangered, threatened, or exploited 10 species—Subject to Part II of this Act, no person shall trade in any specimen of an endangered, threatened, or exploited species into or from New Zealand, except pursuant to the appropriate permit or certificate granted under this Part of this Act.
- 15 **12. Application for permit or certificate**—(1) Every person who proposes to trade in any specimen of an endangered, threatened, or exploited species shall apply in writing to the Director-General for the appropriate permit or certificate that, if granted, would authorise that trade.
- 20 (2) Every application shall specify—
  - (a) The full name and address of the applicant:
  - (b) The type of trade to which the application relates:
  - (c) The species and the number of specimens of that species to be traded:
- 25 (d) The country to or from which the specimens are to be conveyed.

(3) Except as the Director General may decide, a separate application shall be required for each consignment of specimens of an endangered, threatened, or exploited species.

30 (4) No person shall make an application under this section to trade in any specimen of an endangered, threatened, or exploited species where trade in that specimen is subject to controls under any other Act or regulations, unless authorisation in respect of such trade has first been obtained 35 under that Act or those regulations.

(5) Every applicant for a permit or certificate shall furnish to the Director General, in addition to the particulars required under subsection (2) of this section, such further information as the Director General may require. I Tuue In I

(6) Every applicant for a permit or certificate shall pay the prescribed fee.

18. Grant of permits and certificates—(1) Every permit or certificate granted under sections 14 to 25 of this Act shall relate to a specific application.

(2) The Director General may, at the Director General's discretion, having regard to sections 14 to 25 of this Act,—

(a) Grant such permit or certificate in respect of all or any of the specimens included in any application; or

(b) Decline to grant any such application.

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(3) Where the Director-General declines to grant any such permit or certificate, the Director-General shall inform the applicant of the grounds on which the application has been declined.

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(3) Where the Director-General is of the opinion that a permit or certificate should be declined or should be issued subject to conditions, the Director-General shall inform the applicant accordingly, giving the grounds on which the opinion 20 is based, and invite the applicant to make submissions on the matter before the application is formally dealt with.

(4) The Director-General may grant a permit or certificate either unconditionally or subject to such conditions as the Director-General may specify.

(5) Every such permit or certificate shall be in the form issued by the Department.

(6) Every such permit or certificate may be revoked or varied at any time by the Director-General in any case where the Director-General is satisfied that the conditions in the permit or 30 certificate have not been complied with, or can only be met by varying the terms of the permit or certificate.

(7) Any person granted a permit or certificate may at any time surrender it by forwarding a written note to that effect, together with the permit or certificate, to the Director-General. 35

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13A. Appeals to District Court on question of law— (1) Any applicant for a permit or certificate who is dissatisfied with any decision of the Director-General under section 13 of this Act on a question of law may, within one month after notice of that decision has been received by the applicant, appeal to the District Court against that decision.

(2) Every appeal under this section shall be heard and determined in accordance with rules of Court and this section.

- 10 (3) The Court shall, as soon as practicable, hear the appeal, and may confirm, reverse, or modify the decision of the Director-General, or may refer the matter back to the Director-General in accordance with rules of Court, and may give any decision that the Director-General could have given in respect
- 15 of the matter.

(4) Nothing in this section shall give the Court power to review any part of the Director-General's decision other than the part against which the appellant has appealed.

(5) Subject to any order of the Court, every decision of the 20 Director-General against which an appeal is lodged shall continue in force and have effect according to its tenor pending the determination of the appeal.

(6) On any appeal under this section, the Court may make an order for the payment by the Director-General, or by the 25 appellant, of the costs incurred in respect of the appeal by the other party to the appeal.

### Authority to Trade in Endangered Species

14. Permit to export endangered species—The Director-General may, after (*(if necessary)*) consultation with the 30 appropriate other management authorities and scientific authorities, grant a permit to export any specimen of an endangered species to an applicant, if those authorities are satisfied that—

- (a) The export of that specimen is not detrimental to the survival of that species; and
  - (b) That specimen was not obtained in contravention of any Act; and
  - (c) That specimen is to be prepared and shipped so that the risk of—
    - (i) Injury to the specimen; and

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(ii) Adverse effect on the health of the specimen; and

(iii) In the case of an animal, cruel treatment of the specimen,—

is minimised; and

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(d) Permission to import that specimen has been granted by the relevant authority of the country of import.

15. Permit to import endangered species—The Director-General may, after (*(if necessary)*) consultation with the appropriate other management authorities and scientific 10 authorities, grant a permit to import any specimen of an endangered species to an applicant, if those authorities are satisfied that—

(a) The import of that specimen is for purposes that are not detrimental to the survival of that species; and

- (b) The proposed recipient of that specimen is suitably equipped to house and care for it; and
- (c) That specimen is not to be used primarily for commercial purposes; and
- (d) Permission to export <u>or re-export</u> that specimen has been 20 granted by the relevant authority of the country of export <u>or re-export</u>.

16. Certificate to re-export endangered species—The Director-General may, after (*(if necessary)*) consultation with the appropriate other management authorities (and scientific 25 authorities,) grant a certificate to re-export any specimen of an endangered species to an applicant, if those authorities are satisfied that,—

(a) In the case of that specimen being imported—

(i) Before the commencement of this Act, that 30 specimen was not imported in contravention of any Act; or

(ii) After the commencement of this Act, that specimen was imported in accordance with this Act; and

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(b) That specimen is to be prepared and shipped so that the risk of—

(i) Injury to the specimen; and

(ii) Adverse effect on the health of the specimen; and

(iii) In the case of an animal, cruel treatment of the specimen—

is minimised; and

(c) Permission to import that specimen has been granted by the relevant authority of the country of import.

17. Certificate to introduce from the sea endangered species—The Director General may, after ((if necessary))
5 consultation with the appropriate other management authorities and scientific authorities, grant a certificate to introduce into New Zealand from the sea any specimen of an endangered species to an applicant, if those authorities are satisfied that—

- 10 (a) The introduction of that specimen is not detrimental to the survival of that species; and
  - (b) That specimen is to be handled so that the risk of-

(i) Injury to the specimen; and

- (ii) Adverse effect on health of the specimen; and
- (iii) In the case of an animal, cruel treatment of the specimen, —

is minimised; and

- (c) The proposed recipient of that specimen is suitably equipped to house and care for it; and
- 20 (d) That specimen is not to be used primarily for commercial purposes; and
  - (e) That specimen was not obtained in contravention of any Act.

### Authority to Trade in Threatened Species

- 25 **18. Permit to export threatened species**—The Director-General may, after (*(if necessary)*) consultation with the appropriate other management authorities and scientific authorities, grant a permit to export any specimen of a threatened species to an applicant, if those authorities are 30 satisfied that—
  - (a) The export of that specimen is not detrimental to the survival of that species; and
  - (b) That specimen was not obtained in contravention of any Act; and
- 35 (c) That specimen will be prepared and shipped so that the risk of—

(i) Injury to the specimen; and

- (ii) Adverse effect on the health of the specimen; and
- (iii) In the case of an animal, cruel treatment of the specimen,—

is minimised.

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19. Permit to import threatened species—The Director-General may grant a permit to import any specimen of a threatened species to an applicant, if the Director-General is satisfied that the applicant has been granted a permit to export 5 or a certificate to re-export that specimen from the relevant authority of the country of export.

### New

19. Permit to import threatened species—(1) Where an importer has been granted a permit to export or a certificate to 10 re-export a specimen of the threatened species from the relevant authority of the country of export, no permit under this Act is required to import that specimen.

(2) Where a permit to export or a certificate to re-export a specimen of a threatened species is not required by the relevant 15 authority of the country of export, the Director-General may, in the Director-General's discretion, after consultation with the appropriate other management authorities and scientific authorities, grant a permit to import that specimen subject to such conditions as the Director-General thinks fit to impose. 20

20. Certificate to re-export threatened species—The Director-General may, after (*(if necessary)*) consultation with the appropriate other management authorities and scientific authorities, grant a certificate to re-export any specimen of a threatened species to an applicant, if those authorities are 25 satisfied that,—

(a) In the case of that specimen being imported,-

(i) Before the commencement of this Act, that specimen was not imported in contravention of any Act; or

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(ii) After the commencement of this Act, that specimen was imported in accordance with this Act; and

(b) That specimen will be prepared and shipped so that the risk of—

(i) Injury to the specimen; and

(ii) Adverse effect on the health of the specimen; and

(iii) In the case of an animal, cruel treatment of the specimen, is minimised.

21. Certificate to introduce from the sea threatened 5 species—The Director-General may, after (*(if necessary)*) consultation with the appropriate other management authorities and scientific authorities, grant a certificate to introduce from the sea any specimen of a threatened species to an applicant, if those authorities are satisfied that—

10 (a) The introduction of that specimen is not detrimental to the survival of that species; and

(b) That specimen is to be handled so that the risk of-

(i) Injury to the specimen; and

- (ii) Adverse effect on health of the specimen; and
- (iii) In the case of an animal, cruel treatment of the specimen,—

is minimised; and

(c) That specimen was not obtained in contravention of any Act.

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### Authority to Trade in Exploited Species

22. Permit to export exploited species—(1) Where an application relates to any exploited species specified in any Order in Council made pursuant to subsection (2) of this section, the Director-General may, after (*(if necessary)*) consultation with

25 the appropriate other management authorities and scientific authorities, grant a permit to export any specimen of that exploited species to the applicant, if those authorities are satisfied that—

(a) That specimen was not obtained in contravention of any Act; and

(b) That specimen is to be prepared and shipped so that the risk of—

(i) Injury to the specimen; and

(ii) Adverse effects on the health of the specimen; and

(iii) In the case of an animal, cruel treatment of the specimen—

is minimised.

(2) The Governor-General may, from time to time, by Order40 in Council, specify any exploited species that have been included in Appendix III to the Convention at the request of the New Zealand Government.

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(3) In the case of any exploited species to which subsection (1) of this section does not apply, the Director-General may, after *(if necessary)* consultation with the appropriate management and scientific authorities, grant a certificate of origin in respect of any specimen of that exploited species to an applicant.

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23. Permit to import exploited species—The Director-General may grant a permit to import any specimen of an exploited species to an applicant, if the Director-General is satisfied that the applicant has been granted a permit to export 10 or a certificate to re-export that specimen or a certificate of origin from the relevant authority of the country of export.

New

**23.** Permit to import exploited species—(1) Where an importer has been granted a permit to export or a certificate to 15 re-export a specimen of an exploited species from the relevant authority of the country of export, no permit under this Act is required to import that specimen.

(2) Where a permit to export or a certificate to re-export a specimen of an exploited species is not required by the relevant 20 authority of the country of export, the Director-General may, in the Director-General's discretion, after consultation with the appropriate other management authorities and scientific authorities, grant a permit to import that specimen subject to such conditions as the Director-General thinks fit to impose. 25

24. Certificate to re-export exploited species—The Director-General may, after (*(if necessary)*) consultation with the appropriate other management authorities and scientific authorities, grant a certificate to re-export any specimen of an exploited species to an applicant. 30

25. Certificate to introduce from the sea exploited species—The Director-General may, after (*(if necessary)*) consultation with the appropriate other management authorities and scientific authorities, grant a certificate to introduce from the sea any specimen of an exploited species to 35 an applicant.

### Permits and Certificates

26. Effect of permits and certificates—(1) Except as the Director-General may determine, a permit or certificate shall authorise the holder to undertake on one occasion the type of trade to which the permit or certificate relates in only the specimen or specimens of endangered, threatened, or exploited

species specified in that permit or certificate.

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(2) Every permit or certificate granted under any of sections 14 to 25 of this Act shall come into force on the date on which it 10 was granted.

(3) Notwithstanding subsection (2) of this section, every permit or certificate shall remain in force for a period of 6 months, or such lesser period as may be specified, unless it is sooner revoked or surrendered.

15 (4) A permit or certificate shall be personal to the holder, and shall not be transferable to or vest by operation of law in any person other than the holder.

27. Permit or certificate to be produced—For the purposes of this Act, where the holder of a permit or certificate 20 undertakes the trade in accordance with that permit or certificate, the holder shall—

- (a) Before, in the case of exporting or re-exporting; or
- (b) Before or at the time of importation or introduction, in the case of importing or introducing from the sea-
- 25 produce the permit or certificate, or cause the permit or certificate to be produced, to an Endangered Species Officer, being an officer of Customs or, if no officer of Customs is available, to any other Endangered Species Officer.
- 28. Control of arrivals from overseas—(1) Every such 30 person shall, on arriving in New Zealand, permit an officer of the Customs or any other Endangered Species Officer to inspect and examine any specimen of an endangered, threatened, or exploited species in that person's possession as part of that person's personal effects or baggage, and shall
- 35 afford to that officer all reasonable facilities and assistance in carrying out any such inspection and examination, and shall produce any permit or certificate granted under this Part or **Part** II of this Act in respect of that specimen.
- (2) Subject to section 30 of this Act, where any person is found 40 to be in possession of any specimen of an endangered, threatened, or exploited species, or a specimen that an <u>Endangered Species Officer has reasonable cause to believe or</u> suspect may be of an endangered, threatened, or exploited

<u>species</u>, and has not been granted a permit or certificate in respect of that specimen under this Part or Part II of this Act, that person shall—

(a) Surrender the specimen to an officer; and

(b) State to the officer his or her full name; and

(c) In the case of—

(i) New Zealand citizens and permanent residents, state his or her address:

(ii) Visitors to this country, state an address at which he or she may be contacted and his or her 10 permanent address outside New Zealand—

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where that person has voluntarily disclosed the presence of the specimen to the officer as soon as practicable after the specimen arrives in New Zealand, ((and)) for the purposes of this Act, he or she shall be taken not to have imported that 15 specimen.

(3) Any specimen surrendered to an officer under subsection (2) of this section shall be conveyed to any institution or place considered by the Director General appropriate for the holding of that specimen.

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(3A) If any specimen surrendered to an officer under subsection (2) of this section is subsequently ascertained not to be a specimen of an endangered, threatened, or exploited species, it shall be released to the person who surrendered the 25 specimen.

**28A. Disposal and release of specimens seized from arrivals from overseas**—(1) ((4)) If any person who surrenders a specimen under subsection (2) of this section does not, within 14 days from the date of surrender, act in 30 accordance with (*subsection (5)*) <u>subsection (2)</u> or (*subsection (7)*) <u>subsection (4)</u> of this section that specimen shall be forfeit to the Crown and shall be disposed of in accordance with section 40 of this Act.

((5))(2) Any New Zealand citizen or permanent resident or 35 intending resident who surrendered a specimen under subsection (2) of (this section) section 28 of this Act may apply to the Director General in accordance with this Part or Part II of this Act for the appropriate permit or certificate authorising trade in that specimen. 40

((6) Subject to subsection (9)) (3) Subject to subsection (6) of this section, if such a permit or certificate—

<sup>(</sup>a) Is subsequently granted that specimen shall be released to the applicant:

(b) Is not granted that specimen shall be forfeit to the Crown and shall be disposed in accordance with section 40 of this Act.

 $((7))(\underline{4})$  Any visitor to New Zealand who surrendered a specimen under subsection  $((3))(\underline{2})$  of (*this section*) <u>section 28 of this Act</u> may apply to the Director General for the return of that specimen upon the visitor's departure from this country.

((8) Subject to subsection (9)) (5) Subject to subsection 6 of this section, the Director-General shall consider any application

- 10 under subsection (((7))) (4) of this section as expeditiously as possible and—
  - (a) If the Director-General grants the application, the Department shall take all reasonable steps to enable the applicant to take the specimen from the aerodrome or port at which the visitor surrendered the specimen, if and when the visitor leaves New Zealand:
  - (b) If the Director General declines the application, that specimen shall be forfeit to the Crown and shall be disposed of in accordance with **section 40** of this Act.
  - ((9)) (6) Any specimen that has been surrendered in accordance with this section shall not be released pursuant to subsection ((7)) (4) or subsection ((8) (a)) (5) (a) of this section to the person who had possession of it at the time of surrender, until
- 25 that person has paid all costs and expenses incurred by the Crown in relation to the custody of the specimen, including any costs of transporting the specimen.

### PART II

### EXEMPTIONS

- 30 **29. Certificate of acquisition**—(1) Every person seeking to export or re-export a specimen of an endangered, threatened, or exploited species that was <u>legally</u> acquired before the provisions of this Act applied to that specimen shall apply in writing to the Director-General for a certificate of acquisition.
- 35 (2) The Director-General may, after (if necessary) consultation with the appropriate other management authority, issue a certificate of acquisition to any applicant if the management authority is satisfied that the specimen to which the application relates was <u>legally</u> acquired before the 40 provisions of this Act applied to that specimen.
  - (3) Part I of this Act shall not apply to any person who has been issued with a certificate of acquisition under subsection (2) of this section.

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(4) Notwithstanding subsection (3) of this section, sections 11, 12, and 26 of this Act shall, with all necessary modifications, apply to a certificate of acquisition.

**30. Endangered species as personal or household effects**—(1) Except as provided in subsection (2) of this section, 5 **Part I** of this Act shall not apply to any specimen of an endangered, threatened, or exploited species that is a personal or household effect.

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(2) Part I of this Act shall apply to—

- (a) Any specimen of an endangered species that is a personal or household effect acquired by the owner outside New Zealand and is being imported into this country; or
- (b) In the case of a specimen of a threatened species that is a 15 personal or household effect—

(i) The specimen was acquired by the owner outside New Zealand and in a country where removal from the wild occurred; and

(ii) The specimen is being imported into this 20 country; and

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(iii) The State where removal from the wild occurred requires the prior grant of export permits before export of such specimens; or

(c) The import for primarily commercial reasons of any 25 specimen of an endangered, threatened, or exploited species that is a personal or household effect,---

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(2) Part I of this Act shall apply to any specimen that is a personal or household effect being imported into New Zealand 30 by a person resident in New Zealand where—

- (a) The specimen is of an endangered or threatened species acquired by the owner outside New Zealand; or
- (b) The specimen is of an endangered, threatened, or exploited species that is imported for primarily 35 commercial reasons,—

unless that specimen was acquired before the provisions of the Act applied to such specimens.

**31. Certificate for specimens bred in captivity or artificially propagated**—(1) Every person seeking to export or re-export a specimen of an endangered, threatened, or exploited species that—

(a) In the case of an animal, was bred in captivity; or

(b) In the case of a plant, was artificially propagated; or

(c) Is a recognisable part or derivative of such a specimen shall apply in writing to the Director-General for a certificate for specimens bred in captivity or artificially propagated.

- 10 (2) The Director-General may, after (if necessary) consultation with the appropriate other management authority, issue a certificate for specimens bred in captivity or artificially propagated to an applicant if the other management authority is satisfied that the specimen to which the application relates
- 15 was bred in captivity, or as the case may be, was artificially propagated, or is a recognisable part or derivative of such a specimen.

(3) For the purposes of trade, a certificate for specimens bred in captivity or artificially propagated shall be accepted in lieu of

20 any of the permits or certificates granted under any of sections 14 to 25 of this Act.

(4) For the purpose of this section, sections 11, 12, and 26 of this Act shall, with all necessary modifications, apply to a certificate for specimens bred in captivity or artificially propagated.

- 25 **32. Scientific transfer**—Part I of this Act shall not apply to the non-commercial loan, donation, or exchange between scientists or scientific institutions in New Zealand recognised by the Director-General and scientists or scientific institutions in other States recognised by the relevant authorities of those 80 States of
- 30 States, of-

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- (a) Herbarium and other preserved, dried, or embedded plants:
- (b) Live plants:
- (c) Preserved animals, and skins of animals:

35 (d) Live animals,—

that are specimens of an endangered, threatened, or exploited species and which carry a label issued or approved by the Director-General.

**38. Travelling circus or exhibition**—The Director-40 General may, after (if necessary) consultation with the appropriate other management authority, waive the requirement of holding a permit or certificate granted under **Part I** of this Act in respect of any specimens of an endangered, threatened, or exploited species that form part of a travelling zoo, circus, menagerie, plant exhibition, or other travelling exhibition where—

(a) The exporter or importer registers full details of such specimens with the Director-General; and 5

(b) The specimens were—

(i) Acquired before the provisions of the Convention applied to those specimens; or

(ii) In the case of animals, bred in captivity, or in the case of plants, artificially propagated; and

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(c) That management authority is satisfied that any living specimen will be transported and cared for so that

the risk of—

(i) Injury to the specimen; and

(ii) Adverse effect on the health of the specimen; 15 and

(iii) In the case of an animal, cruel treatment of the specimen,—

is minimised.

**84. Certificate of capture in accordance with other** 20 **treaties**—(1) Subject to subsection (2) of this section, Part I of this Act shall not apply to trade in any marine specimen of a threatened species that is taken—

- (a) By any ship registered in New Zealand or by any foreign fishing craft licensed under the Territorial Sea and 25 Exclusive Economic Zone Act 1977; and
- (b) In accordance with any international agreement to which New Zealand was a party before the commencement of this Act.

(2) Every person who introduces any such marine specimen 30 into New Zealand and wants to subsequently export that specimen shall apply in writing to the Director-General for a certificate of capture in accordance with other treaties.

(3) The Director-General may, after (if necessary) consultation with the appropriate other management authority, 35 issue a certificate of capture in accordance with other treaties to an applicant if the other management authority is satisfied that the specimen, to which the application relates, was taken—

(a) By any ship registered in New Zealand or by any foreign 40 fishing craft licensed under the Territorial Sea and Exclusive Economic Zone Act 1977; and (b) In accordance with any international agreement to which New Zealand was a party before the commencement of this Act.

(4) For the purposes of this section, sections 11, 12, and 26 of5 this Act shall, with all necessary modifications, apply to a certificate of capture in accordance with other treaties.

### PART III

### ENDANGERED SPECIES OFFICERS

**35. Endangered Species Officers**—(1) Every Inspector appointed under the Animals Act 1967, or the Ministry of Agriculture and Fisheries Act 1953, or the Plants Act 1970, and every officer of Customs shall be an Endangered Species Officer for the purposes of this Act.

(2) Notwithstanding subsection (1) of this section, there may15 from time to time be appointed pursuant to the State SectorAct 1988 such other persons to be Endangered Species Officersas may be required for the purposes of this Act.

**36.** Authority to act as officer—(1) The Secretary shall issue to any officer appointed under section 35 (2) of this Act a 20 warrant authorising the officer to exercise the powers conferred on officers under this Part of this Act.

(2) Every such warrant shall contain-

(a) A reference to this section; and

- (b) The full name of the officer; and
- 25 (c) A reference to the powers set out in sections 37 to 39 of this Act.
  - (3) The production by an officer of—
  - (a) A warrant issued under this section; or
  - (b) Due evidence of the appointment of the officer as an Inspector under the Animals Act 1967, or the Ministry of Agriculture and Fisheries Act 1953, or the Plants Act 1970; or

(c) Any evidence that the officer is an officer of Customs-

shall, until the contrary is proved, be sufficient authority for 35 any such officer to do anything authorised by this Part of this Act.

(4) Every person appointed under section 35 (2) of this Act who ceases to hold office as an officer shall surrender to the Director-General the warrant issued to the person under 40 subsection (1) of this section.

(5) Every person commits an offence against this Act who fails to comply with subsection (4) of this section.

**37. Rights of entry**—(1) Subject to **section 38 (2)** of this Act and notwithstanding any other Act, any officer who has reasonable grounds to believe that a breach of this Act or of any regulation made under it has been or is being committed, may at any time—

(a) Stop and enter or board any vehicle:

(b) Enter, pass across, or remain on any land or premises (including a dwellinghouse and a marae and a building associated with a marae):

(c) Demand any information relating to that breach from any 10 person in or on that vehicle, land, or premises and require such persons to produce—

(i) Any permit or certificate granted under this Act; and

(ii) Any other documents relating to trade in 15 endangered species.

(2) Notwithstanding subsection (1) of this section, no officer shall enter any dwellinghouse or a marae or a building associated with a marae unless the officer is authorised in that behalf by a search warrant obtained under section 38(2) of this 20 Act.

(3) Every officer exercising a power to enter under subsection (1) of this section shall identify himself or herself and have with him or her due evidence of his or her appointment and shall produce that evidence to the owner or occupier or, as the case 25 may be, person in charge of the vehicle, land, or premises—

(a) If practicable, on first entering the vehicle or on the land or premises; and

(b) Whenever subsequently reasonably required to do so by that owner or occupier or person in charge. 30

(4) If an officer exercises a power to enter under subsection (1) of this section, written advice of the entry and the purpose of the entry shall be given to the owner or occupier or, as the case may be, person in charge of the vehicle, land, or premises as soon as practicable after that entry where—

- (a) No prior notice or agreement of the entry was given or made; and
- (b) That owner or occupier or person in charge was not present at the time of entry.

(5) No person shall be required to answer any question by an 40 officer if the answer would or could tend to incriminate that person.

(6) Any officer lawfully exercising his or her powers under this section may make or take copies of any document, and for this purpose may take possession of and remove from the place 45

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where it is kept, for such period of time as is reasonable in the circumstances, any such document.

88. Powers of search—(1) Subject to subsection (2) of this section, any officer who has entered any vehicle, land, or premises (including a dwellinghouse and a marae and a building associated with a marae) under section 37 (1) of this Act may search that vehicle, land, or premises and open (by force if necessary) any bulk cargo container, packing case, crate, box, or any other form of receptacle on that vehicle, land, or 10 premises.

(2) Any District Court Judge or Justice of the Peace or Registrar of any Court (not being a member of the Police), who, on application by an officer in writing made on oath, is satisfied that there is in any dwellinghouse or any marae or a building 15 associated with a marae—

- (a) Any specimen of an endangered, threatened, or exploited species that there is reasonable ground to believe—
  - (i) Has been traded otherwise than in accordance with this Act; or
  - (ii) Is intended to be traded otherwise than in accordance with this Act; or
- (b) Any thing which there is reasonable ground to believe may be evidence of the commission of any offence against this Act; or
- 25 (c) Any thing which there is reasonable ground to believe is intended to be used for the purpose of committing any such offence—

may issue a search warrant to that officer; and the provisions of subsections (3) to (8) of section 198 of the Summary 30 Proceedings Act 1957 shall apply accordingly.

**39. Seizure of endangered species traded in contravention of Act**—(1) Where an officer finds, in or on any ship or aircraft or at any port or aerodrome, any specimen of an endangered, threatened, or exploited species that—

35 (a) Is being traded otherwise than in accordance with this Act; or

(b) Is not listed on the inward or outward report in respect of that ship or aircraft,—

that specimen shall be forfeit to the Crown, and shall be seized 40 by the officer who shall dispose of it in accordance with section 40 of this Act.

(2) Any officer who has reasonable cause to believe that any specimen of an endangered, threatened, or exploited species

has been imported or introduced from the sea into New Zealand otherwise than in accordance with this Act, may seize that specimen and,—

- (a) In the case of an animal, any descendant of that specimen; or
- (b) In the case of a plant, any propagation of that specimen,—

and shall deliver it into the custody of the Director-General.

(3) Any officer who has reasonable cause to believe that any specimen of an endangered, threatened, or exploited species is 10 intended to be exported or re-exported from New Zealand otherwise than in accordance with this Act, may seize that specimen and shall deliver it into the custody of the Director-General.

(4) Any officer seizing any specimen under this section may 15 also seize-

- (a) Any container, packing case, crate, box, or any other form of receptacle (not being a bulk cargo container or a pallet) holding that specimen; and
- (b) Any thing which the officer has reason to believe will be 20 evidence of a breach of the provisions of this Act or of any regulation made under it,—

and shall deliver it into the custody of the Director General:

Provided that the owner or the person entitled to the possession of the items seized under this subsection may apply 25 to the District Court at any time for the return of those items, not being items that are required for evidential purposes in a hearing of any Court, and the Court may order the retention of those items or their return on such conditions as the Court thinks fit. 30

(5) No compensation shall be payable in respect of any specimen seized under subsection (1) of this section.

(6) **39A. Custody of seized specimens**—(1) Where, in the opinion of the Director-General, any specimen seized 35 pursuant to subsection (2) or subsection (3) of (*this section*) <u>section 39</u> of this Act may die, rot, spoil, or otherwise perish, the Director-General may dispose of it in accordance with section 40 (1) of this Act as if that specimen was forfeit to the Crown.

((7)) (2) Where the ownership of—

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(a) Any specimen seized pursuant to subsection (2) or subsection
(3) of (this section) section 39 of this Act; or

(b) Any receptacle or thing seized pursuant to this section, cannot, at the time of seizure or within 90 days from the date of seizure, be ascertained it shall be forfeit to the Crown, and— 45

- (c) In the case of a specimen, be disposed of in accordance with section 40 of this Act; or
- (d) In the case of a receptacle or thing, be disposed of as directed by the Director-General, subject to any
  - conditions imposed by the Court pursuant to the proviso to subsection (4) of (this section) section 39 of this Act.

(8) (3) Except as provided in subsections (6) and (7) of this section,—

10 (a) Every specimen seized pursuant to subsection (2) or subsection (3) of (this section) section 39 of this Act; and

(b) Every receptacle or thing seized pursuant to this section shall be held in the custody of the Crown until—

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- (c) A decision is made not to lay any information or charge in respect of the alleged offence for which the specimen, receptacle, or thing was seized; or
- (d) Where such a charge or information is laid, upon the completion of proceedings in respect of the alleged offence for which the specimen, receptacle, or thing was seized, or such sooner time as the Court may determine.

(9) (4) The decision whether or not to lay any information or charge in respect of an alleged offence for which any specimen, receptacle, or thing is seized under this section shall

25 be made as soon as reasonably practicable after that specimen, receptacle, or thing is seized, taken possession of, or detained.

((10) Subject to subsections (1), (6), and (7) of this section) **39B. Release of seized specimens**—Subject to subsection (1) of section 39 and subsections (1) and (2) of section 39A of this Act, where

- 30 any specimen, receptacle, or thing has been seized under this section and not previously returned by the Court pursuant to the proviso to subsection (4) of (this section) section 39 of this Act, then—
  - (a) On a decision being made not to lay an information or charge; or
  - (b) On the acquittal of any person charged with an offence for which the specimen, receptacle, or thing is subject to forfeiture—
- such specimen, receptacle, or thing shall forthwith be released40 from the custody of the Crown to the owner or person who had possession of the specimen at the time of seizure.

40. Disposal of seized specimens—(1) Any specimen of an endangered, threatened, or exploited species forfeited to the Crown shall be disposed of in such manner as the Director45 General may direct, after consultation with the relevant scientific and management authorities.

(2) The Director-General may negotiate the return, and the payment of any costs associated with that return, of any

specimen imported into New Zealand, otherwise than in accordance with this Act, with the management authority of the country from where that specimen originated.

(3) All costs and expenses of and attendent upon any disposal of a specimen forfeited to the Crown and seized under section 5 ((38 (1))) <u>39 (1)</u> of this Act shall be borne by the owner or the person who had possession thereof, and shall be recoverable from him or her as a debt due to the Crown, and no compensation shall be payable in respect thereof.

(4) Notwithstanding (section 39 (1),  $(\hat{b})$ , and (7)) section 39 (1),  $39_A$  10 (1) and (2) and section 44 (1) of this Act, any specimen of an endangered, threatened, or exploited species seized under section 39 of this Act that is deemed to be the property of the Crown under section 57 of the Wildlife Act 1953 shall be disposed of in accordance with that Act. 15

**41. Duty of officers of New Zealand Post Limited**—(1) It shall be the duty of all officers of New Zealand Post Limited to assist in carrying out the provisions of this Part of this Act and to prevent the import into and export from New Zealand of any specimen of an endangered, threatened, or exploited 20 species otherwise than in accordance with this Act, and for that purpose those officers of New Zealand Post Limited may, in respect of any specimen so imported or exported or attempted to be imported or exported, exercise all the powers conferred by the Postal Services Act 1987 in the case of postal articles 25 posted in violation of that Act.

(2) Notwithstanding subsection (1) of this section, a person shall not be liable to a penalty under the Postal Services Act 1987 (other than forfeiture of the specimen) because any specimen is treated as a postal article posted in violation of that Act by 30 virtue of this section.

(3) Notwithstanding subsection (1) of this section, where any specimen is forfeited under the Postal Services Act 1987 it shall be disposed of in accordance with section 40 of this Act.

### PART IV

### MISCELLANEOUS PROVISIONS

42. (Offences—(1)) Trading or attempting to trade in endangered, threatened, or exploited species without permit or certificate—Every person commits an offence and is liable on summary conviction to—

- (a) A fine not exceeding \$40,000 if the offender is a body corporate and \$20,000 if the offender is an individual, who trades or attempts to trade in any specimen of an endangered species without the appropriate permit or certificate granted under any of sections 14 45 to 17 of this Act:
- (b) A fine not exceeding \$20,000 if the offender is a body corporate and \$10,000 if the offender is an individual,

who trades or attempts to trade in any specimen of a threatened species without the appropriate permit or certificate granted under any of sections 18 to 21 of this Act:

(c) A fine not exceeding \$15,000 if the offender is a body corporate and \$7,500 if the offender is an individual, who trades or attempts to trade in any specimen of an exploited species without the appropriate permit or certificate granted under any of sections 22 to 25 of this Act. 10

((2)) 42A. Possession of specimen of endangered, threatened, or exploited species-Every person who has in his or her possession, or has under his or her control though under the custody of another, any specimen of an endangered, 15 threatened, or exploited species that he or she knows-

- (a) Has been imported or introduced from the sea into New Zealand otherwise than in accordance with this Act; or
- (b) Is intended to be exported or re-exported from New
- Zealand otherwise than in accordance with this Act,-

commits an offence against this Act and shall be liable on summary conviction to a fine,-

(c) In the case of a specimen of an endangered species, not exceeding \$40,000 if the offender is a body corporate

- and \$20,000 if the offender is an individual:
- (d) In the case of a specimen of a threatened species, not exceeding \$20,000 if the offender is a body corporate and \$10,000 if the offender is an individual:
- (e) In the case of a specimen of an exploited species, not 30 exceeding \$15,000 if the offender is a body corporate and \$7,500 if the offender is an individual.

(3) 42B. Failure to comply with conditions of permit or certificate-Every person commits an offence against this 35 Act who has failed to comply with any of the conditions specified by the Minister in respect of any permit or certificate granted to him or her under Part I of this Act and shall be liable on summary conviction to a fine not exceeding \$10,000 if the offender is a body corporate and \$5,000 if the offender is an 40 individual.

((4)) 42c. Making false statement—Every person who, for the purpose of procuring anything to be done or not to be done under Part I or Part II or Part III of this Act, whether for his or her own benefit or for the benefit of any other person, makes a

45 statement that he or she knows to be false in a material particular, or recklessly makes a statement that is false in a material particular, commits an offence against this Act and is liable on summary conviction to imprisonment for a term not

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exceeding 3 months or to a fine not exceeding \$2,000.

((5)) 42D. Obstructing or hindering officer—Every person commits an offence against this Act who intentionally obstructs or hinders any officer in the performance of his or her duty under this Act.

((6)) 42E. General offence—Every person who commits an offence against this Act for which no penalty is provided otherwise than in this section is liable, on summary conviction, to a fine not exceeding \$2,000.

48. Onus of proof in respect of certain offences- 10 Where any person is charged with an offence under this Act arising out of his or her doing, without a permit or certificate, any action for which a permit or certificate is required, the onus shall be on that person to prove that at the relevant time he or she held the required permit or certificate. 15

**44. Forfeiture of property on conviction**—(1) Subject to subsection (2) of this section, on the conviction of any person for any offence under this Act,—

- (a) Any specimen of an endangered, threatened, or exploited species in respect of which the offence has been 20 committed; and
- (b) Any container, packing case, crate, box, or any other form of receptacle (not being a bulk cargo container or a pallet) used in relation to the commission of the 25offence,-

whether or not they have been seized, taken possession of, or detained under section 39 of this Act, shall be forfeit to the Crown, and disposed of,-

- (c) In the case of a specimen, in accordance with section 40 of this Act:
- (d) In the case of a receptacle, as the Director-General directs.

(2) Notwithstanding the conviction of any person for any offence under this Act, no specimen shall be forfeited or 35 disposed of until proceedings are finally determined.

(3) Any person whose receptacle has been forfeit to the Crown under subsection (1) of this section or any person having a legal or equitable interest in such property may apply to the Minister within 30 days of conviction for the release of the property so forfeited; and the Minister may order the release of 40 such property on payment to the Crown of such amount as the Minister thinks appropriate, being an amount not exceeding the amount the items otherwise forfeited are estimated by the Director General to realise if sold by public auction in New Zealand. 45

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(4) Any forfeiture directed or redemption payment imposed pursuant to this section shall be in addition to, and not in substitution for, any other penalty that may be imposed by the Court or by this Act.

- 5 **45. Protection of officers and others**—A person who does any act in pursuance or intended pursuance of any of the functions conferred on that person by or under this Act shall not be under any civil or criminal liability in respect thereof, whether on the ground of want of jurisdiction, or mistake of
- 10 law or fact, or on any other ground, unless the person has acted, or omitted to act, in bad faith or without reasonable cause.

46. Schedules—The Governor-General may, from time to time, by Order in Council, add, omit, or amend any item in the 15 First Schedule or the Second Schedule or the Third Schedule to this Act in order that the Schedules may conform with the Convention, as amended from time to time.

**47. Regulations**—The Governor-General may from time to time, by Order in Council, make regulations for all or any of 20 the following purposes:

- (a) Prescribing the fees to be paid in respect of any permit or certificate granted under this Act:
- (b) Prescribing the recording of trade in endangered, threatened, or exploited species:
- 25 (c) Regulating the non-commercial loan, donation, and exchange between scientists and scientific institutions in New Zealand and those in other countries:

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- (d) Prescribing the registration of scientists and scientific institutions in New Zealand involved in such exchanges:
- (e) Regulating the breeding in captivity, in the case of an animal, and the artificial propagation, in the case of a plant, of any specimen of endangered, threatened, or exploited species:
- 35 (f) Prescribing fines not exceeding \$2,000 for a breach of any such regulation:
  - (g) Providing for such matters as are contemplated by or necessary for giving full effect to the provisions of this Act and for its due administration.
- 40 **48. Consequential amendment**—The Conservation Act 1987 is hereby consequentially amended by adding to the First Schedule the following item:

"The Trade in Endangered Species Act 1988".

Section 3 (1)		FIRST SCHEDULE Species Endangered by Trade	
		PART I—ANIMALS Division 1—Class Mammalia	
Order	Family	Genus, species or sub-species	Соттол пате
ARTIODACTYLA	Bovidae Cervidae	Addax nasomaculatus Antilocapra americana peninsularis Antilocapra americana peninsularis Bison bison athabascae Bos gauvus (frontalis) Bos gauvus (frontalis) Bos (Novibos) sauveli Bubalus (Annoa) depressicomis Bubalus (Annoa) depressicomis Capra falconri jerdoni Capra falconri jerdoni Capra falconri jerdoni Capra falconri jerdoni Capra falconri jerdoni Capra falconri megacros Capra falconri berdus Capra falconri berdus Capra falconri berdus Capra falconri ferdoni Nis vignei Dixy dammah (tao) Oryx damah Dix anton hodgsoni Rupicapra npicapra ornata Blastocerus dirvauceli	addax pronghorn, Lower California or peninsular pronghorn, Sonoran oision, wood ox, Indian wild, gaur. saladang. seladang yak, wild keuprey aroa, Jowland armaraw, tamarou anoa, nountain markhor, Sultman markhor, Sultman markhor, Sultman markhor, Sultman markhor, Sultman anter, Sultman markhor, Sultman markhor, Sultman markhor, Sultman markhor, Sultman markhor, Sultman markhor, Sultman markhor, Sultman anteros, giant sable gazelle, Dama antelope, giant sable orys, Arabian orys, Arabian sheep, grat Tibetan, nyan. Tibetan argali mouflon, Cyprian orys, Arabian sheep, grat Tibetan, nyan. Tibetan argali mundon, Cyprian urial, shapu, shapo antelope, Tibetan chiru. orong deer, marsh, guasu puca deer, marsh, guasu puca deer, swamp. barasingha

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deer, Kashmir. Kashmir stag hangul deer, brow-antlered or Eld's thamin deer, Canges hog or Thai hog deer, calamian (hog) or Philippine deer, kuhl's (hog) or Bawean (hog) huemal, or taruca guernal	deer, Pampas pudu. Chilean babirusa, deer hog, babiroussa hog, pygmy, pecceary, Chaco dog, bush or savarnah cheetah, or hunting leopard cougar, Florida. Florida puma, Florida panther cugar, Florida. Florida puma, castern puma, Costa Rica or Central American cougar, eastern, eastern puma, castern panther cat, marbled cet, marbled cet, Brazilian cat, Asiatic Golden or Temminck's (golden) cat, tiger or little spotted margay. Guatemalan leopard, clouded cat, tiger or little spotted margay. Guatemalan leopard, clouded tiger foopard snow	
Cervus claphus hanglu Cervus eldi Cervus (Axis/Hyelaphus) porcinus annamiticus Cervus (Axis/Hyelaphus) porcinus calamianensis Cervus (Axis/Hyelaphus) porcinus kuhli All speces of Hippocamelus Muniscens of Enpocamelus	Ozotocceros beroarticus Pudu pudu Babyrousa babyrussa Sus aalvanius Sus aalvanius Speotons veranicus Acinonys jubatus Felis concolor coryi Felis jacobita Felis jacobita Felis pardalis mermsi Felis tigrina oncilla Felis tigrina tigri	

Suidae Tayassuidae Camidae Felidae	Hyaenidae Mustelidae
CARNIVORA	

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# SPECIES ENDANGERED BY TRADE-continued

## 7 PART I-ANIMALS-continued

continue
Mammalia—
1-Class 1
Division

		Division 1—Class Mammalia—continued	
Order	Family	Genus, species or sub-species	Common name
CARNIVORA—continued	P	Lutra felina Lutra longicaudis (platensis/annectens/enudris/ incarum) Lutra lutra	Lutra felina otter, marine. chungungo sea cat Lutra longicaudis (platensis/armectens/enudris/ otter, long-tailed (La Plata otter or South incarum) American otter or lobito de rio and Central Americal otter included) Lutra lutra otter and otter included) or old World
	Ursidae	Lutra provocax Mustela nigripes Pteronura brasiliensis Ailuropoda melanoleuca Helarctos malayanus Selemarctos thiberanus Tremarctos iabellinus Ursus arctos iabellinus	otter, southern river. huillin ferret, black-footed otter, giant or Brasilian panda, giant bear, Malayan (sun) bear, spectacled or Andean bear, red or Himalayan brown
CETACEA	Viverridae Platanistidae Physeteridae Delphinidae	us r sta ulus (catodon)	bear, mexucan (grnziy) bear, Tipte (an) brown linsang, spotted. tiger-civet dolphin, Yangtze River whale, sperm or great sperm whale, sperm or great sperm dolphins, humback
	Phocoenidae Ziphiidae Eschrichtidae	Neophocaenea phocaenoides Phocoena sinus All species of Berardius All species of Hyperoodon Eschrichtius robustus (glaucus)	Porpoise, finless porpoise, gulf whales, four tooth whales, bottlenose whale, grey

EDENTATA LAGOMORPHA MARSUPIALIA	Balacnoptcridae Balacnidae Dasyuridae Dasyuridae Macropodidae Peramelidae Thylacinidae	calis ni sculus sculus sauus angliac angliac anus (Eubalaena) ta nus (giganteus) ta ta ta ta ta ta ta ta ta ta ta ta ta	whale, sei whale, tropical or Bryde's whale, blue whale, blue whale, humpback whale, humpback whale, nygmy right and bowhead whale, prgmy right armadilo, giant armadilo, giant armadilo, giant arabai, voleano dunnart, long-tailed dunnart, sandhill dunnart, sandhill
PERISSODACTYLA	Vombatidae Equidae Rhinocerotidae Tapiridae	fii hemionus khur ia ai hinocerotidae) tidae other than species specified	bilby, fesser or lesser rabbit-bandicoot wombat, northern hairy-nosed ass, African wild zebra, Grevy's ass, Mongolian wild, kulan. oziggetai ass, Indian wild, ghor-khar khar ass, Indian wild, ghor-khar khar horse, Przewalski's or Mongolian wild zebra, Cape Mountain thinoceroses, rhinos tapirs
PHOLIDOTA PINNIPEDIA	Manidae Otariidae Phocidae		pangolin, South African, scaly anteater seal, Guadalupe fur seals, monk

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# SPECIES ENDANGERED BY TRADE-continued

## PART I--ANIMALS--continued Division 1--Class Mammalia--continued

Order	Family	Genus, species or sub-species	Comnon name
PRIMATES	Callithricidae Callithricidae	Callimico goeldii Callithrix jacchus aurita Callithrix jacchus flaviceps All species of Leontopithecus (Leontideus)	marmoset, Goeldi's. Goeldi's tamarin marmoset, white-cared marmoset, buff-headed tamarins, golden, siky or lion-headed
		Saguinus bicolor Saguinus leucopus Saguinus oedipus (geoffroyi)	tamarin, pied tamarin, white footed tamarin, cotton headed or cotton top. cotton top or pinche marmoset listet monkey (Geoffroy
· .	Cebidae	Alouatta palliata Ateles geoffroyi frontatus Ateles geoffroyi panamensis Brachyteles arachnoides	tamarin included) howler, mantled monkey, black-browed spider monkey, Panama spider or red (bellied) spider monkey, woolly spider
		All spectes of Cacajao Chiropotes albinasus Lagothrix flavicauda Saimiri oerstedii	ustans saki, white nosed montey, yellow tailed woolly montey, red backed squirrel or Central American
	Cercopithecidae	Cercocebus galeritus galeritus Cercopithecus diana (roloway) Colobus permatiri (badius) kirki	squrren margabey, Tana River (monkey) monkey, diana (Roloway monkey included) colobus, Kirk's red or Zanzibar red
		Agroup (aduation) ruomunatus Macca silenus All species of Nasalis (Simias) Papio (Mandrillus) leucophacus Papio (Mandrillus) sphinx	corous, ico macaque, lion-tailed or wanderoo monkey, proboscis. pig-tailed langur drill mandrill

.

		Presbytis entellus Presbytis geei Presbytis pileata Presbytis potenziani All concise of Durachity (Dhirochithecus)	langur, entellus or true or hanuman or commor langur, golden langur, capped or bonneted. capped monkey langur, long-tailed. Mentawi Island leaf monkey Janur, ong
	Cheirogaleidae Daubentoniidae Hylobatidae Indriidae Lemuridae	Au species of Cherrogaleidae All species of Cherrogaleidae Daubentonia madagascariensis All species of Hylobatidae All species of Indriidae All species of Iemurs	lemus, dwarf or mouse aye-aye gibbons and siamang avais or woolly lemurs or indris or sifakas lemurs
PROBOSCIDEA RODENTIA	Pongidae Elephantidae Muridae	(All species of Pongidae) Elephas maximus Leporillus conditor Pseudomys praeconis Xerromys myoides	apes, great clephant, Asian or Indian rat, greater stick:nest or house-building mouse, Shark Bay (false) rat, false water Mardonnel Ranse rock
SIRENIA	Sciuridae Trichechidae	organity productions Cynomys mexicanus Trichechus inunguis Trichechus manatus	dog, Mexican prairie, Mexican prairie marmot manatee, South American or Amazonian manatee, Caribbean or North American or Wes Indian

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# SPECIES ENDANGERED BY TRADE-continued

PART 1-ANIMALS-continued

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Division 2—Class Aves	rder Family Genus, species or sub-species Common name	RMES Anatidae Anas aucklandica nesiotis teal, Campbell Island flightless or Campbell Island brown brown Anas (platyrhynchos) laysanensis teal, Laysan Anas oustaler's arev or duck Marianas Island or Oustaler's arev or	bia	Rhodonessa caryophyllacea Trochilidae Glaucis (Ramphodon) dohrnii Laridae Larus relictus Scolopacidae Numenius borealis	Numenius tenuirostris curlew, slender-billed or long-billed Tringa guttifer andoioner sondoioner so	Ciconiidae Ciconia i boyciana sto Jabiru mycteria Murrena cirozea	ta.	Columbidae Calcenas inclusion	Bucerotidae Buceros bicomis homrai	Rhinoplax vigil     Intered       ORMES     Accipitridae     Aquila heliaca       Chondrohierax uncinatus wilsonii     kite, Cuba(n) hook-billed       Haliacetus albicilla     eagle, white-tailed (sea) or prev sea
	Order	ANSERIFORMES		APODIFORMES CHARADRIIFORMES		CICONIIFORMES		COLUMBIFORMES	CORACIIFORMES	FALCONIFORMES

	Haliacetus leucocephalus	cagle, (American) bald
	Harpia harpyja	cağle, harpy
	Pithecophaga jefferyi	eagle, Philippine or monkey eating
Cathartidae	Gymnogyps californianus	condor, California
•	Vultur gryphus	condor, Andean
Falconidae	Falco araca	kestrel, Seychelies
	Falco jugger	falcon, laggar
	Faico newtoni aidabranus	
	ralco peregrinus (pelegrinoides/babylonicus)	talcon, Peregrine (Barbary Talcon/Shaneen included)
	Falco punctatus	kestrel. Mauritius
	Falco nisticolus	evrfalcon
Cracidae	Aburria (Pipile) jacutinga	guan, black-faced piping or black-fronted piping.
		black fronted curassow jacutinga
	Aburria (Pipile) pipile pipile	curassow, Trinidad white-headed, Trinidad white-
		headed piping guan
	Crax blumenbachi	curassow, red-billed. mutum
	Crax (Mitu) mitu mitu	curassow, razor-billed. mitu
	Oreophasis' derbianus	guan, homed
	Penelope albipennis	guan. white-winged
Megapodiidae	Macrocephalon maleo	maleo (fowl)
Phasianidae	Catreus wallichii	pheasant. cheer
	Colinus virginianus ridowavi	bohwhite masked masked bohwhite mail
	Comparison rescontilon	observed white enroy and or Tibeton enroy
		plicasally, willie cated of Hibelari cated
	Crossoptilon mantchuricum	pheasant, brown cared
	All species of Lophophorus	pheasant, monal
	Lophura edwardsi	pheasant, Edwards'
	Lophura imperialis	pheasant, Imperial
	Lophura swinhoii	pheasant, Swinhoe's
	Polyplectron emphanum	pheasant, Palawan peacock
	Rheinartia ocellata (nigrescens)	pheasant, Rheinard's or pheasant, Malay
		•
	Symmaticus ellioti	pheasant, Elliot's
	Syrmaticus humiae	pheasant, Hume's or (Hume's) bar-tailed or Mrs
		Hume's
	Syrmaticus mikado	pheasant, Mikado

GALLIFORMES

		PART I-ANIMALS-continued	
		Division 2—Class Avcs—continued	
Order	Family	Genus, species or sub-species	Common name
GALLIFORMES—continued	рэпи	Tetraogallus caspius Tetraogallus tibetanus	snowcock, Caspian snowcock, Tibetan
		Tragopan blythii Tragopan caboti	tragopan, Blyth's tragopan, Cabot's
		Tragopan melanocephalus	tragopan, western (homed)
GRUIFORMES	Gruidae	i yinpanucinus cupicio aniwateri Grus americana	crutesti, Attwater s (greater) prante crane, whooping
		Grus canadensis nesiotes	crane, Cuba sandhill
		Grus canadensis pulla	crane, Mississippi sandhill
		Grus japonensis	crane, Japanese or Manchurian or red-crowned
		Grus leucogeranus Crus monacha	crane, Siberian white or snow or great white
		Grus nigricollis	crane. black-necked or (Japanese) white-necked
		Grus vipio	crane, lapanese white-necked
	Otididae	Chlamydotis undulata	bustard, Houbara
		Choriotis nigriceps	bustard, great Indian
		Houbaropsis (Eupodotis) bengalensis	bustard, Bengal or great, Bengal florican
	Kallidae	l richolimnas sylvestris	rail, Lord Howe wood or woodhen, Lord Howe Island
	Rhynochetidae	Rhvnochetos iubatus	kaeu
PASSERIFORMES	Atrichornithidae	Atrichomis clamosus	scrub-bird, noisy. western scrub-bird
	Cotingidae	Cotinga maculata	cotinga, spotted or banded
	1	Xipholena atropurpurca	cotinga, white winged or Wied's
	Fringillidae	Carduelis (Spinus) cucullata	siskin, red
	Meliphagidae	Meliphaga cassidix	honey-eater, helmeted
	Muscicapidae	Dasyornis longirostris	bristlebird, western
		Vasyomus broadbenti litoralis	bristlebird, rutous
		All species of ricalitaties	rock-towi, or picatnartes or daid crow

FIRST SCHEDULE-continued

SPECIES ENDANGERED BY TRADE-continued

PART I-ANIMALS-continued

pitta, Koch's starling, Rothschild's or white, Rothschild's or	alimynah, Rothschild's grackle rreye, white-breasted, white-chested or reacht theorem	Norroux wintercyc frigate bird, Christmas Island pelican, Dalmatian	ooooy, Aboott s woodpecker, imperial woodpecker, Trintram's or white bellied black	greue, Autuan (preu-ourcu) or graut preu-ourcu albatross, short-tailed or Steller's amazon, red-necked jacquot. red-necked amazon	parrot azon, yellow-shouldered. yellow-shouldered	amazon parrot amazon, red-tailed. red-tailed parrot amazon, red-crowned or red-crowned parrot	amazon, St. Vincent. St. Vincent parrot amazon, imperial. imperial parrot amazon, Cuban. Cuban or Bahamas or Bahaman	parrot amazon, red-spectacled, red-spectacled parrot amazon & 1 ucia & 1 ucia parrot	amazon, vinaceous, vinaceous (breasted) parrot amazon, Puerto Rican or red-fronted. Puerto Rico		macaw, caninde or Wagler's macaw, scarlet macaw, military macaw, redifronted parakeet, golden. golden or Queen of Bavaria or	Queen of Bavaria's conure
oitta, Ko starling,	Balin ilvercy	non rigate l belican,	voodpe voodpe	ilbatros umazon	parrol amazon,	ama umazon umazon	amazon, amazon, amazon,	parre mazon	amazon. amazon,	or P. nacaw, nacaw,	macaw, macaw, macaw, macaw, perakee	oue.

Amazona brasiliensis Amazona dutresniana rhodocorytha Amazona guildingii Amazona imperialis Amazona leucocephala Leucopsar rothschildi Pitta kochi

Campephilus imperialis Drycopus javensis nichardsi Podilymbus gigas Diomedea albatrus Amazona barbadensis Zosterops albogularis Fregata andrewsi Pelecanus crispus Sula abbotti Amazona arausiaca

Podicipedidae Diomedeidae Psittacidae

PODICIPEDIFORMES PROCELLARIIFORMES PSITTACIFORMES

Zosteropidae

Pittidae Sturnidae

Fregatidae Pelecanidae Sulidae Picidae

PELECANIFORMES

PICIFORMES

Amazona pretrei Amazona versicolor Amazona vinacea Amazona vittata

All species of Anodorhynchus Ara ambigua Ara glaucogularis (Ara caninde) Ara macao Ara militaris Ara rubrogenys Aratinga guarouba

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# SPECIES ENDANGERED BY TRADE-continued

### PART I-ANIMALS-continued

### Division 2-Class Aves-continue

Order	Family	Genus, species or sub-species	Common name
PSITTACIFORMEScontinued	tinued	Cyanopsitta spixii Cyanoramphus auriceps forbesi	macaw, Spix's or little blue parakeet, Chatham Island yellow-crowned or
		Cyanoramphus novaezelandiae Geopsittacus occidentalis Neophema chrysogaster	parateet, red-crowned. kakariki parrot, night parrot, orange-bellied
		Ognornynchus icterous Opopsitta (Octopsitta) diophthalma coxeni	conure, yeuow-earco fig-parrot, Coxen's
		rezoporus waucus Pionopsitta pileata	parrot, ground parrot, pileated or red-capped
		Probosciger aterrimus Psenhotus chrvsnotervoius	cockatoo, palm narrot solden.shouldered
		Preprotes purchased and present of the present of t	parrot, paradise
		Psittacus erithacus princeps	parrot, Fernando Po grey or principle
		Pyrrhura cruentata	conure, blue-throated or red-numped. ochre- marked parakeet
		All species of Rhynchopsitta	parrot, thick billed or maroon fronted
RHEIFORMES	Rheidae	strigops naoroptiuus Pterocnemia pennata	kakapo, owi parrot rhea, Darwin's or lesser or puna
SPHENISCIFORMES STRIGIFORMES	Spheniscidae Strividae	Spheniscus húmboldti Athene blewitti	penguin, Humboldt or Peruvian owl. forest little. forest snotted owl. forest owlet
	0	Ninox novaeseelandiae royana	owl, Norfolk Island boobook
		Otus (Mimizuku) gurneyi	owi, Ciribulias Islarid (liawk-) owl, giant scops
TINAMIFORMES	Tytonidae Tinamidae	Tyto soumagnei Tinamus solitarius	owl, Soumagne's or Madagascar grass tinamou, solitary
TROGONIFORMES	Trogonidae	Pharomachrus mocinno	auetzal. resolendent

Reptilia
3-Class
Division

Order	Family	Genus, species or sub-species	Соттол лате
CROCODYLIA	Alligatoridae	Alligator sinensis Caiman crocodilus apaporiensis Caiman latirostris Melanosuchus niver	alligator, China or Chinese caiman, Rio Apapris or Apaporis River caiman, broad-nosed or broad-snouted caiman, black
	Crocodylidae	Crocodylus acutus Crocodylus intermedius Crocodylus moreletii Crocodylus novaeguineae mindorensis Crocodylus palustris	crocodile, American crocodile, Orinoco crocodile, Mindoro or Philippine crocodile, Mindoro or Philippine crocodile, marsh or broad-snouted or mugger
	Gavialidae	Crocodylus rhombifer Crocodylus siamensis Tomistoma schlegelii Gavialis gangeticus	crocodile, Cuban crocodile, Siamese gavial, false, tomistoma (crocodile) false gharial gavial, (Indian) gharial
RHYNCHOCEPHALIA SERPENTES	Sphenodontidae Boidae	Sphenodon punctatus All species of Acrantophis Bodycria multocarinata Casarea dussumieri Epicrates inornatus	tuatara boas, Madagascar boas, Round Island boas, Round Island keel-scaled boa, Puerto-Rican or yellowtree. culebra grande
SAURIA	lguanidae Lacertidae Varanidae	Epicrates monensis Python molurus molurus Sanzinia madagascariensis All species of Brachylophus All species of Cyclura Sauromalus varius Gallotia simonyi Varanus hengalensis Varanus flavescens	boa python, Indian (rock) or tiger boa, Madagascar tree sanzina

FIRST SCHEDULE—continued	

# SPECIES ENDANGERED BY TRADE-continued

### PART I-ANIMALS-continued

# Division 3-Class Reptilia-continued

		Division 4—Class Amphibia	
Order	Family	Genus, species or sub-species	Common name
ANURA	Bufonidae	Atelopus varius zeteki	frog, Zetek's or (Panamanian) golden or golden
CAUDATA	Microhylidae Cryptobranchidae	Bufo superciliaris All species of Nectophrynoides Dyscophus antongilia All species of Andrias (Megalobatrachus)	arrow poison toads, viviparous African salamanders, giant
		Division 5-Class Pisces	
Order	Family	Genus, species or sub-species	Common name
ACIPENSERIFORMES Acipenseridae CYPRINIFORMES Catostomidae OSTEOGLOSSIFORMES Osteoglossidae PERCIFORMES Scientidae SILURIFORMES Schilbeidae	Acipenseridae Catostomidae Cyprinidae Osteoglossidae Sciaenidae Schilbeidae	Acipenser brevirostrum Acipenser sturio Charmistes cujus Probarbus jullieni Scleropages formosus Cynoscion macdonaldi Pangasianodon gigas Division 6—Class Insecta	sturgeon, shortnose sturgeon, common or Baltic cui-ui lian, temoleh, placesok (Thai), ikan temelian (Malay) bonytongue, Asian or Asiatic, kelesa dragon fish, golden arowana catfish, giant
Order	Family	Genus, species or sub-species	Common name
LEPIDOPTERA	Papilionidae	Omithoptera alexandrae Papilio chitae Papilio homerus Papilio hospiton	

Division 4—Class Amphihia

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### SPECIES ENDANGERED BY TRADE—continued PART I—ANIMALS—continued

mussei, dromedary pearly, dromedary naiad mussei, Curtis pearly, Curtis' naiad mussei, yellow-blossom pearly, yellow-blossom naiad mussel, Sampson's pearly, Sampson's naiad mussel, white catspaw, white cats paw mussel, green-blossomed pearly, green-blossom naiad mussel, fine-rayed pigtoe pearly, fine-rayed pigtoe mussel, pearly, shiny pigtoe mussel, pearly, higgin's eye mussel, plain pocketbook mussel, Alabama lamp pearly, Alabama lamp mussel, oranğe-footed pimpleback mussel, peariy rough pigtoe mussel, peariy fat pocketbook mussel, Cumberland monkeyface, Cumberland mussel, turgid-blossom pearly, turgid-blossom mussel, tuberculed blossom pearly, tuberculed mussel, brown-blossom pearly, tan riffle shell, brown-blossom naiad mussel, birdwing pearly, rimose naiad Common name mussel, pearly white wartyback blossom naiad monkey face naiad naiad Epioblasma (Dysnomia) sampsoni Epioblasma (Dysnomia) sulcata perobliqua Epioblasma (Dysnomia) torulosa gubernaculum Epioblasma (Dysnomia) torulosa torulosa Division 7-Class Mollusca Genus, species or sub-species Epioblasma (Dysnomia) curtisi Epioblasma (Dysnomia) florentina Epioblasma (Dysnomia) turgidula Epioblasma (Dysnomia) walkeri Fusconaia cuneolus Fusconaia edgariana Lampsilis higginsi Lampsilis orbiculata Potamilus (Proptera) capax Quadrula intermedia All species of Achatinella Plethobasus cooperianus Plethobasus cicatricosus Pleurobema pleňum Lampsilis satura Lampsilis virescens Conradilla caelata Dromus dromas Family STYLOMMATOPHORA Achatinellidae UNIONOIDA Unionidae Order

Quadrula sparsa

Toxolasma (Carunculina) cylindrella Unio nickliniana Unio tampicoensis tecomatensis Villosa (Micromya) trabalis

mussel, Appalachian monkeyface pearly, Appalachian monkeyface mussel, pale lilliput pearly, pale lilliput naiad mussel, Nicklin's pearly mussel, pearly Cumberland bean

# PART II—POPULATIONS OF ANIMALS

Populations of animals of the species Struthiv camelus (ostrich) in Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal, and the Sudan.

Chile; and other populations of Pampas Galeras National Reserve and Nuclear Zone. Federgal, Oscionta and Sawacocha (Province of Lucanas), Sais Picotani (Province of Azangaro), Sais Tupac Amaru (Province of Janin), and of Salinas Aguada Blanca National Reserve (Provinces of Arequipa and Cailloma), Penu. Populations of animals of the species Vicugna vicugna (vicuna, vicugna) other than part of the population of Parinacota Province, Ia. Region of Tarapacá

Population of animals of the genus Moschus (musk deer) in Afghanistan, Bhutan, Burma, India, Nepal and Pakistan.

Population of animals of the species Canis lupus (wolf) in Bhutan, India, Nepal and Pakistan.

Population of animals of the subspecies Felis bengalensis bengalensis (leopard cat) other than the population of China.

Population of animals of the species Felis (Lynx) caracal (desert lynx or caracal) in Asia.

Population of animals of the species Felis rubiginosa (rusty spotted cat) in India.

Populations of animals of the species Felix yagouaroundi (jaguarundi) in Central and North America.

Populations of animals of the species Aonyx congita (Cameroon clawless/small-clawed/small-toothed otter) in Cameroon and Nigeria.

Population of animals of the species Balaenoptera acutorostrata (Fiked whale or minke) in areas other than west Greenland.

Population of animals of the genus Chinchilla (chinchillas) in South America.

Population of animals of the species Dugong dugon (dugong) in areas outside Australia.

Populations of animals of the species Crosodylus cataphractus (African slender-snouted or sharp-nosed crocodile) other than populations of the Congo subject to an annual export quota.

Populations of animals of the species Crocodytur nitotican crocodile) other than the population of Zimbabwe, and populations of Botswana, Cameroon, Congo, Kenya, Madagascar, Malawi, Mozambique, the Sudan, the United Republic of Tanzana, and Zambia subject to annual export quotas. Population of animals of the species Croodylus porosus (estuarine crocodile) other than the populations of Australia and Papua New Guinea, and the

Populations of animals of the species Osteolaemus tetraspis (African dwarf crocodile) other than the population of the Congo subject to an annual export population of Indonesia subject to annual export quotas. quota.

Populations of animals of the species Vipera ursinii in Europe, other than the Union of Soviet Socialist Republics.

inued	Common name	cactus, living rock cactus, living rock cactus, living rock cactus, aztec cactus, aztec cactus, Lindsay's peyote or cactus, artichoke
SPECIES ENDANGERED BY TRADE—continued PART III—PLANTS	Genus, species or sub-species	Agave arizonica Agave parviflora Nolina interrata Pachypodium namaquanum Alocasia sanderiana Alocasia araucana from Chile Araucaria araucana from Chile Araucarus tobuschii Ariocarpus agavoides Ariocarpus agavoides Ariocarpus stepharostrus Ariocarpus stepharostrus Ariocarpus stepharostrus Ariocarpus ingonus Arrophytum (Echinocactus) asterias Artekum ritteri Backebergia militaris Coryphantha (Escobaria) sneedii Coryphantha (Escobaria) sneedii Coryphantha (Escobaria) sneedii Echinomastus (Neolloydia) mariposensis Leuchtenbergia principis Mammillaria pectinifera (Solisia pectinata) Mammillaria percinifera (Solisia pectinata) Mammillaria pectinifera (Solisia pectinata) Mammillaria pectinifera (Solisia pectinata) Mammillaria pectinifera (Dobergoi anti Pediocactus bradyi Pediocactus knowltonii Pediocactus knowltonii
	Family	AGAVACEAE APOCYNACEAE ARAUCARIACEAE CACTACEAE CACTACEAE

FIRST SCHEDULE—continued es Endangered by Trade—cont

cactus, hatchet		larch, Chilean faise. alerce prepusa, scarlet flowered or white flowered.	chiricana. ira macawood, quira	aloe, spiral
Pediocactus papyracanthus Pediocactus peradinei Pediocactus preblesianus Pediocactus sinteri Pediocactus winkleri All species of Pelecyphora Sclerocactus mesae verdac Sclerocactus mesae verdac Sclerocactus wrightiac Sterobcactus disciformis Strombocactus disciformis Turbinizaruus laui	Turbinicarpus (Neolloydia) lophophoroides Turbinicarpus (Neolloydia) pseudomarrochele Turbinicarpus (Neolloydia) pseudopectinatus Turbinicarpus (Neolloydia) schmicdickeanus Wilcoxia schmollii Caryocar costaricense Saussurea lappa Dudleya stolonifra	Puuters Fitz-Roya cupressoides Pilgerodendron uviferum Cycas beddomei Fouquieria fasciculata Fouquieria purpusi Prepusa hookeriata	Vartanca barbouni Engelhardtia pterocarpa Cynometra hemitomophylla Platymiscium pletostachyum Tachigalia versicolor Aloe albida Aloe pillansii	Aloc polyphylla

CARYOCARACEAE COMPOSITAE CCONPOSITAE CASSULACEAE CUPRESSACEAE CVCADACEAE FOUQUIERIACEAE GENTIANACEAE HUMIRIACEAE JUGLANDACEAE LEGUMINOSAE

LILIACEAE

Common name			musk, wood			pitcher plant, giant tropical	cattleya, skinners. white nun	orchid, Christmas. winter cattleya						orchid, dove or holy ghost. dove or holy ghost	IDWCI	vanda, red vanda, blue	
Genus, species or sub-species	Aloe thorncroftii Aloe vossii	Lavoisiera itambana	Guarea longipetiola	Batocarpus costaricensis	Nepenthes khasiana	Nepenthes rajah	Cattleya skinneri	Cattleya trianae	Didiciéa cunninghamii	Laelia jongheana	Lacia lobata	Lycaste skinneri (virginalis) var. alba	Paphiopedilum druryi	Peristeria elata		Renanthera imschootiana Vanda coerulea	
Family		MELASTOMATACEAE	MELIACEAE	MORACEAE	NEPENTHACEAE		ORCHIDACEAE										

FIRST SCHEDULE—continued

# SPECIES ENDANGERED BY TRADE-continued

PART III—PLANTS—continued

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fir, guatemalan, pinabete	podocarp, Parlatore's marsh rose	ayugue pitcher plant, Alabama canebrake nitcher plant, Tonae' or monoroin	prover plant, green head, Hottentor's	garland flower, Philippine	
Abies guatemalensis Podocarpus costalis	Podocarpus parlatorei Orothamuus zeyheri	Balmea suorata Balmea stormiae Sarracenta alabamensis sub-species alabamensis Sarracenta ionesii	Sarracenia oreophila Stangeria eriopus (paradoxa) Welwitschia mirabilis (bainesii) All species of Ceratozamia	All species of Encephalartos Microcycas calocoma Hedychium philippinense	
PINACEAE PODOCARPACEAE	PROTEACEAE	RUBIACEAE SARRACENIACEAE	STANCERIACEAE WELWITSCHIACEAE ZAMIACEAE	ZINGIBERACEAE	

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	Common name	sheep, Barbary, asudads pronghorn, Mexican markhor duiker, Jeink's duiker, Jeink's duiker, blue duiker, blue duiker, blue duiker, striped-backed duiker, striped-backed duiker, striped-backed duiker, striped-backed duiker, striped-backed duiker, striped-backed duiker, blue duiker, attored duiker, attored duiker, attored duiker, striped-backed duiker, blue duiker, attored duiker, a
Species Threatened by Trade PART I—ANIMALS Division 1—Class Mammalia	Genus, species or sub-species	Ammotragus lerviasheep, Barbary, asudadsAnnilocapra americanapronghorn, MexicanBudorcas taxicolorBudorcas taxicolorSubspecies of Capra falconeri not specified inmarkhorPart I of First Schedule)markhorCephalophus dorsalduikerCephalophus spibyiduiker, light-backedCephalophus spibyiduiker, light-backedCephalophus spibyiduiker, light-backedCephalophus spibyiduiker, light-backedCephalophus spibyiduiker, light-backedCephalophus sethbonteok (antelope)Hippotragus equinuskobus fechKobus fechantelope, noanNobus fechfirst Schedule)I am guanicocervus elphus not specified in Part IChoreropsis liberiensisfirst Schedule)Species specified in Part I of First Schedule)Choreropsis liberiensisguanacoCervus elphus bactrianusPudu mephistophilesPudu mephistophilesPudu mephistophilesPudu mephistophilesPart II of Second SchedulePart II of Second Schedule
	Family	Bovidae Camelidae Cervidae Hippopotamidae Tayassuidae
	Order	АКТІОРАСТУІ.А

### Trade In Endangered Species

Section 3 (1)

SECOND SCHEDULE

wolf, maned dog, Asiatic wild or Indian wild. dhole fox, Colpeo or red or culpeo fox, Argentine grey or little or chico grey chilla or Chiloe	fox, pampas fox, Blandord's or Afghan or dog or steppe. corsac fox, fennec	skunk, Patagonian	panda, lesser or red. red cat-bear bear, Polar fossa (cat) otter, civet mongoose, Malagasy or small toothed fanalouc civet, Malagasy. fandloka (civet) civet banded on Man. Hardwick's civet banded	musang Iinsang, banded. tiger civet
Populations of species of Tayassuidae a population of which is specified in Part II of Second Schedule, other than the population so specified Chrysocyon brachyurus Cuon alpinus Dusicyon culpacus Dusicyon griseus (fulvipes)	Dusicyon gymnocercus Vulpes cana Species of Felidae other than— (a) species specified in Part I or Part II of First Schedule: and (b) species a buspecies of which is specified in Part I or Part II of First Schedule: and	(c) Felis caus (Sub-species of species of Felidae a sub-species of which is specified in Part I or Part II of First Schedule, other than sub-species so specified) Conepatus humboldtii Species of sub-family Lutrinae not specified in Part I or Part II of First Schedule	Ailurus fulgens Ursus (Thalarctos) maritimus Cryptoprocta ferox Cynogale bennettii Eupleres goudotti (major) Frossa fossa	Prionodon linsang Species of Cetacea other than— (a) species specified in Part I of First Schedule; and (b) species a population of which is specified in Part II of First Schedule.
Canidae	Felidae	Mustelidae	Procyonidae Ursidae Viverridae	
CARNIVORA				CETACEA

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Order CETACEA—continued CHIROPTERA CHIROPTERA EDENTATA MARSUPIALIA	Family Pteropodidae Myrmecophagidae Burramyidae	LS—continued mmalia—continued r sub-species r sub-species ceacea a population o on so specified. us misularis us mariamuus us misularis us mariamuus us molossinus us phaeocephalus us samoensis us tokudae us tokudae us tonganus iensis/griseus)	Common name Common name Aying fox, big-eared Aying fox, big-eared Aying fox, Marianas Is Aying fox, Caran Aying fox, insular Bying fox, insular Aying fox, insular Bying fox, ins
	Macropodidae Phalangeridae	Dendrolagus bennettianus Dendrolagus inustus Dendrolagus lumholtzi Dendrolagus ursinus Phalanger maculatus Phalanger orientalis	kangaroo, Bennett's tree kangaroo, gurizled grey tree kangaroo, Lumholt's tree kangaroo, black tree cuscus, common spotted cuscus, grey

### Trade In Endangered Species

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SECOND SCHEDULE—continued SPECIES THREATENED BY TRADE—continued

echidnas, New Guinea long-nosed or long snouted or anteaters. New Guinea egg-laving	•	zebra, Hartmann's mountain tapir, Brazilian or South American pangolin, Indian pangolin, Malayan	parigour, currescurt of seals, southern fur	seals, clephant family tedule;	ficd in peccies redule, elephant, African squirrels, giant or oriental giant manatee, West African aardvark or ant bear
All species of Zaglossus	(Sub-species of Equus hemionus not specified in Part 1 of First Schedule)	Equus zebra hartmannae Tapirus terrestris Manis crassicaudata Manis javanica	Mans peritadactyla Species of Arctocephalus not specified in Part 1 of seals, southern fur Fries calevalua	All species of Mirounga Species of Mirounga Tupaiidae, other than— (a) species specified in Part I of First Schedule;	<ul> <li>(b) species a sub-species of which is specified in Part I of First Schedule</li> <li>(Sub-species and species of Primates, a sub-species of which is specified in Part I of First Schedule, other than sub-species so specified)</li> <li>Loxodonta africana</li> <li>All species of Ratufa</li> <li>Trichechus senegalensis</li> <li>Orycteropus afer</li> </ul>
Tachyglossidae	Equidae	Tapiridae Manidae	Otarriidae	Phocidae	Elephantidae Sciuridae Trichechidae Orycteropodidae
MONOTREMATA	PERISSODACTYLA	PHOLIDOTA	PINNIPEDIA	PRIMATES	PROBOSCIDEA RODENTIA SIRENIA TUBULIDENTATA

			Common name	nd Island ascar rreasted	swan, jankowski's or eastern Bewick's swan, jankowski's or eastern Bewick's swan, black-necked duck, white-headed duck, romb or knob-billed		-headed	ious, (southern) paid spoonbill, white or Eurasian or common dove, bleeding heart, bleeding heart pigeon	precon, trowned or goura hornbill, Narcondam hombill, great Indian or great pied	hornbill, Philippine or Luzon rufus hornbill, Malayan rhinoceros turaco, purple-crested or violet-crested turaco, Knysna or helmeted
				teal, Auckland Island teal, brown teal, Madagascar goose, red-breasted coscorba (swan)	swan, Jankowski's swan, Jankowski's c swan, black-necked duck, White-headed duck, comb or knol		stork, whale-headed stork, black flamingos ibis, scarlet	spoonbill, white or I dove, bleeding heart	hornbill, Narcondam hornbill, Narcondam hornbill, great Indian	hornbill, Ph hornbill, Má turaco, purf turaco, Kny
SPECIES THREATENED BY TRADE—continued	PART I-ANIMALScontinued	Division 2—Class Aves	Genus, species or sub-species	Anas aucklandica aucklandica Anas aucklandica chlorotis Anas bernieri Branta ruficollis Coscoroba	Cygruus columbianus (bewickii) jankowskii Cygnus melanocoryphus Dendrocygna arborea Oxyura teucocephala Sarkidiomis melanotos	Species of Trochilidae not specified in Part 1 of Schedule 1	Balaeniceps rex Ciconia nigra All species of Phoenicopteridae Eudocimus ruber	Geronneus carvus Platalea leucorodía Gallicolumba husonica	Au spects of your a Aceros narcondami (Subspecies of Buceros bicomis, other than Buceros bicomis hoursai)	Buceros hydrocorax hydrocorax Buceros rhinoceros rhinoceros Tauraco (Gallirex) porphyreolophus Tauraco corythaix
			Family	Anatidae		Trochilidae	Balaenicipitidae Ciconiidae Phoenicopteridae Threskiornithidae	Columbidae	Bucerotidae	Musophagidae
			Order	ANSERIFORMES		APODIFORMES	CICONIIFORMES	COLUMBIFORMES	CORACIIFORMES	CUCULIFORMES

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SECOND SCHEDULE—continued

	preasant, grey peacock or common peacock or pheasant, Germain's peacock pheasant, Germain's peacock e: e: in of c,	st wanderer, plains weka, eastern button-quail, black breasted cock-of-the-rock
<ul> <li>Species of Falconiformes other than— <ul> <li>(a) species specified in Part I of First Schedule:</li> <li>(b) species a sub-species of which is specified in Part I of First Schedule</li> <li>(c) species of the family Cathartidae</li> <li>(c) species of species of Falconiformes a sub-species of species of Falconiformes a sub-species of which is specified in Part I of First Schedule, other than sub-species so specified) Argusianus argus</li> <li>Cyrtonyx montezumae montezumae</li> <li>Firancolinus scheropectus</li> </ul></li></ul>	<ul> <li>Polyplectron breatearatum</li> <li>Polyplectron germaini</li> <li>Polyplectron malacense</li> <li>Species of Gruidae other than—</li> <li>(a) species other than—</li> <li>(b) species a sub-species of which is specified in Part 1 of First Schedule:</li> <li>(b) species of species of Gruidae a sub-species of which is specified in Part 1 of First Schedule.</li> </ul>	Species of Oudidae not specified in Part I of First Schedule Pediconomus torquatus Gallirallus australis hectori Turnix melanogaster All species of Rupicola Gubernatrix cristata Paroaria capitata Paroaria coronata
Phasianidae	Gruidae	Ondidae Pedionomidae Turmicidae Cotingidae Emberizidae
FALCONIFORMES GALLIFORMES	GRUIFORMES	PASSERIFORMES

		Common name	finch, black throated siskin, yellow faced imartin, white-eyed river flycatcher, Rueck's blue birds of paradise pitta, Japanese fairy or blue-winged	budgerigar, cockatiel, roseringed parakeet	rhea, Argentine (greater) or Argentine (common) penguin, black-footed or jackass or cape
PART I—ANIMALS—continued	Division 2-Class Aves-continued	Genus, species or sub-species	Poephila cincta cincta Carduelis (Spinus) yarrelli Pseudochelidon sinitarae Niltava (Musiccapa) nucki (All species of Paradisaeidae) Fitta brachyura nympha Species of PSITTACIFORMES, other than- (a) species specified in Part 1 of First Schedule; and	<ul> <li>(b) species and sub-species of which is specified in Part I of First Schedule; and</li> <li>(c) Melopsitataus undulatus, Nymphicus budgerigar, cockatiel, roseringed parakeet hollandicus and Britataula trameri (Sub-species of species of PSITTACIFORMES a sub-species of which is specified in Part I of First Schedule, other than sub-species so coeffed)</li> </ul>	<ul> <li>Protection albescens</li> <li>Spheniscus demersus</li> <li>Species of STRIGIFORMES, other than—</li> <li>Species of STRIGIFORMES, other than—</li> <li>(a) species specified in Part I of First Schedule;</li> <li>and</li> <li>(b) species a sub-species of which is specified in Part I of First Schedule</li> <li>(Sub-species of species of STRIGIFORMES a sub-species of species of species of species of STRIGIFORMES a sub-species of species of species of species of species of species of species of STRIGIFORMES a sub-species of species of speci</li></ul>
		Family	<i>utmued</i> Estrildidae Fringillidae Hinnudinidae Muscicapidae Paradisaeidae Pittidae		Rheidae Spheniscidae
		Order	PASSERIFORMES—continued Est Hi M M Pa Par Psittaciformes		RHEIFORMES SPHENISCIFORMES STRIGIFORMES

SPECIES THREATENED BY TRADE—continued

SECOND SCHEDULE—continued

tinamou, Bolivian rufous or Bolivian red winged tinamou, Argentine rufous or Argentine red- winged tinamou, Brazilian rufous or Brazilian red-winged	Common name	lizards, spiny-tailed or dabb or palm. mastigures, spiny-tailed agamids chameleons chameleons lizards, gridled lizards, crag gecko, Serpent Island gecko, day lizards, poisonous or beaded gila monsters iguana, (cralapagos) land. land lizards iguana, (orealapagos) land. land lizards	izard, San Diego horned or Blanville horned
Rhynchotus rufescens maculicollis Rhynchotus rufescens pallescens Rhynchotus rufescens rufescens	Division 3—Class Reptilia Genus, species or sub-species	<ul> <li>Species of Crocodylia (Alligatoridae, Crocodylidae, Cavialidae), other than— <ul> <li>(a) species specified in Part I or Part II of First Schedule</li> <li>(b) species a sub-species of which is specified in Part I of First Schedule</li> <li>(b) species of species or populations of specified in Part I or Part II of First Schedule</li> <li>(Sub-species or population of which is specified in Part I or Part II of First Schedule, other than sub-species or population so specified)</li> <li>All species of Uromastyx</li> <li>All species of Chamaeleo</li> <li>All species of Chamaeleo</li> <li>All species of Perlamaala</li> <li>All species of Perlamaala</li> <li>All species of Perlamaala</li> <li>All species of Perlamaala</li> <li>All species of Condylus</li> <li>All species of Perlamaala</li> </ul></li></ul>	Phrynosoma coronatum blainvillei Podarcis lilfordi Podarcis pityusensis
- Tinamidae	Family	Agamidae Chamaeleonidae Cordylidae Gekkonidae Helodermatidae Iguanidae	Lacertidae
TINAMIFORMES	Order	CROCODYLIA SAURIA	

Order Continued Teii SAURIA—continued Teii SERPENTES Boi Col	Family Teiidae Varanidae Boidac Boidae Colubridae Elapidae Elapidae Dermatemydidae Felomedusidae	Division 3Class Reptilia-continued Cenus, species or subspecies Corcodilurus lacertinus Crocodilurus lacertinus Tracaena guianensis All species of Varanus not specified in Part I of First Species of Varanus not specified in Part I of First Species of Boidae, other than (a) species of Boidae, other than (b) species of Boidae a subspecies of which is specified in Part I of First Schedule; other than subspecies of Boidae a subspecies of which is specified in Part I of First Schedule; other than subspecies so specified) Clelia (Pseudoboa cloelia) Cyclagras (Hydrodynastes) gigas Elachistodon westermanni Hoplocephalus bungaroides Dermatemys mawii Clemmys muhenbergi Erymnochlys (Podocremis) madagascariensis Perforcebulk (Podocremis) madagascariensis	Common name whiptail, orange-throated (lizard), orange-throated racerumer lizard, dragon, dragon lizardet lizard, caiman or fourfoot caiman. armoured teyou croco-teju lizards, tegu. tegus lizards, tegu. tegus snake, mussurana cobra, (South American) false or South American water or beach, suncucu snake, Indian egg-eating or Westermann's Indian egg-eater turtle, bog or Mulhenberg's
Tes	Testudinidae	Part I of	turtle, river or sideneck

SPECIES THREATENED BY TRADE—continued SECOND SCHEDULE—continued

## PART I-ANIMALS-continued

Order CAUDATA ANURA ANURA Order ACIPENSERIFORMES ATHERINIFORMES CERATODIFORMES	Family Ambystomidae Bufonidae Dendrobatidae Myobatrachidae Ranidae Ranidae Cyprinodontidae Cyprinodontidae Ceratodidae Ceratodidae	Cenus, species or sub-species Ambystoma dumerilii Ambystoma dumerilii Ambystoma mexicanum Buto retitormis All species of Phyllobates All species of Phyllobates Ana tigerina Bana hexadaryla Rana hexadaryla Rana tigerina Class Pisces Conclebias constanciae Cynolebias minuus Cynolebias minuus Cynolebias spendens Cynolebias spendens Cynolebias spendens Cynolebias spendens Cynolebias spendens Cynolebias constanciae Cynolebias constanciae Cynolebias constanciae Cynolebias spendens Cynolebias constanciae Cynolebias c	Common name salamander, Lake Patzeuaro. achoque salamander, axolotl toad, sonoran green Common name sturgeon, Atlantic pearfish, amual tropical killifish pearfish, epalescent. amual tropical killifish pearfish, padescent. amual tropical killifish pearfish, Australian of Outernsland, ceratodus hurdyfish, hustralian of Outernsland, ceratodus
COELACANTHIFORMES	COELACANTHIFORMES Coelacanthidae	Latimeria chalumnae	coclacanth
CYPRINIFORMES	CYPRINIFORMES Cyprinidae	Caecobarbus geertsi	fish, African blind barb. Congo blind barb
OSTEOGLOSSIFORMES	OSTEOGLOSSIFORMES Osteoglossidae	Arapaima gigas	arapaima, pirarucu

Division 4—Class Amphibia

Trade In Endangered Species

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		PART I—ANIMALS—continued Division 6—Class Insecta	
Order	Family	Genus, species or sub-species	Common name
LEPIDOPTERA	Papilionidae	All species of Bhutanitis Species of Omithoptera (sensu D'Abrera) other than species specified in Part I of Schedule I Parmassius apollo All species of Teinopalpus All species of Trogonoptera (sensu D'Abrera) All species of Troides (sensu D'Abrera)	butterflies, birdwing butterfly, apollo. mountain apollo butterflies, birdwing butterflies, birdwing
		Division 7Class Arachnida	
Order	Family	Genus, species or sub-species	Соттоп пате
ARANEAE	Theraphosidae	Brachypelma smithi	
		Division 8Class Annelida	
Order	Family	Genus, species or sub-species	Соттоп папе
ARHYNCHOBDELLAE Hirudinidae	Hirudinidae	Hirudo medicinalis	

SPECIES THREATENED BY TRADE—continued PART 1—ANIMALS—continued

SECOND SCHEDULE—continued

Order	Family	Genus, species or sub-species	Common name
NIONOIDA	Unionidae	Cyprogenia aberti Epioblasma (Dysnomia) torulosa rangiana Fusconaia subrotunda Lampsilis brevicula Lexingtonia dolabelloides	mussel, edible pearly. the edible naiad mussell, tan blossomed pearly. tan blossom naiad. mussel. long solid. long solid naiad mussel, Ozark lamp pearly. Ozark lamp naiad mussel, Jab sided naiad
STYLOMMATOPHORA Camaenidae	Camaenidae	rieuroberna ciava Papustyla (Papuina) pulcherrima	musset, thut preatily, thut nated snail, green tree or emerald green or Manus
VENEROIDA	Paryphantidae Tridacnidae	All species of New Zealand Paryphanta All species of Tridacnidae	sauro ucc snails, kauri clams
		Division 10	
Order	Family	Genus, species or sub-species	Common name
ANTIPATHARIA SCLERACTINIA	Acroporidae Agariciidae Eaviohylliidae Fungiidae Merulinidae Mussidae Pocilloporidae	All species of ANTIPATHARIA All species of Arropora All species of Arropora All species of Favia All species of Favia All species of Favia All species of Funga All species of Polyphyllia All species of Polyphyllia All species of Poctunia All species of Poctunia All species of Poctunia All species of Seriatopora All species of Stratopora All species of Stratopora	corals, black. thomy antipatharians

Division 9-Class Mollusca

	Common name			Common name		f Tarapaca, Chile; or in Pampas Galeras for the exclusive purpose of allowing and of items made thereof, the reverse venio para la Conservacion y Manejo de g on the country of origin. I Pakistan erica
SECOND SCHEDULE—continued Species Threatened by Trade—continued PART 1—ANIMALS—continued Division 11—Class Hydrozoa	Genus, species or sub-species	All species of Millepora	Division 12—Class Alcyonaria	Genus, species or sub-species	All speceis of Heliopora All species of Tubipora	Population of animals of the sub-species <i>Cytonyx montexumae meanusi</i> outside the United States Populations of animals of the sub-species <i>Cytonyx montexumae meanusi</i> outside the United States National Reserve and Nuclear <i>Cont.</i> Pedregal. Oscionta and Sawacocha (province of Lucanas), Sais Pricoran (Province of Azanparo). Sais Tupae Amaru National Reserve and Nuclear <i>Zone</i> , Pedregal. Oscionta and Sawacocha (provinces of Atternaparo). Sais Tupae Amaru international trade in cloth made from wood sharred from live vicuñas of the populations included in Schedule II, and of fatens made thereof, the reverse side of the cloth must bear the logotype adopted by the range states of the species, which are signatories to the Convenio para la Conservacion y Manejo de la Vicuña, and the selvages either the words VICUNANDES-FIRU, depending on the country of origin. Population of animals of the genus <i>Machu</i> (musk deer) outside Afghanistan, Bhutan, Burma, India, Nepal and Pakistan Population of animals of the family <i>Tayasuidae</i> (peccaries) other than populations of the United States of America Population of animals of the family <i>Tayasuidae</i> (peccaries) other than sub-species specified in Schedule I) in North America Population of animals of the species <i>Urus artus</i> (other than sub-species specified in Schedule I) in North America Population of animals of the species of the species <i>Urus artus</i> (other than sub-species specified in Schedule I) in North America and Europe except the U.S.S.R.
	Family	Milleporidae		Family	Helioporidae Tubiporidae	Is of the sub-species <i>Cyrton</i> , uls of the sub-species <i>Cyrton</i> , ductear Zone, Pedregal, Os ductear Zone, Pedregal, Os of Salinas Aguada Blanca of the anuly and the banca ear the logotype adopted by ages either the words VICi ages either the words VICi ls of the genus <i>Maschus</i> (mu ls of the species <i>Ovis canad</i> als of the species <i>Canis tupu</i> . Is of any sub-species of the so f any sub-species of the
	Order	ATHECATA		Order	COENOTHECALIA STOLONIFERA	Population of anima Populations of anima Populations of anima National Reserve and N. Province of Jamin, and international trade in cl international trade in cl side of the cloth must b a Vicuña, and the selvy Population of anima Population of anima Population of anima the U.S.S.R.

Population of animals of the species Dugon dugong (dugong) in Australia

	LANI III-FLANIS	
Family	Genus, species or sub-species	Common name
AGAVACEAE APOCYNACEAE	*Agave victoriae-reginae *Species of Pachypodium not specified in Part III trunks, elephant's. halfmen of Schedule 1	trunks, elephant's. halfmen
ARALIACEAE ARAUCARIACEAE	Panax quinquefolius (roots only) *Populations of the species Arancaria arancana oniside Chile	
ASCLEPIADACEAE	*All species of Ceropegia *Frerea indica	
BYBLIDACEAE CACTACEAE	*All species of Byblis †All species of Cactaceae not specified in Part III of Schedule I	
CEPHALOTACEAE CYATHEACEAE	*Cephalotus follicularis *All species of Cyatheaceae	pitcher plant, Albany or Western Australian fems, tree
CYCADACEAE	#All species of Cyadaceae not specified in Part III cycads of First Schedule	cycads
DIAPENSIACEAE	*Shortia galacifolia	
DICKSONIACEAE	"All species of Dicksoniaceae	ferns, tree
DIDIEREACEAE DIOSCOREACEAE	"All species of Didiercaceae "Dioscorea deltoidea	
ERICACEAE	*Kalmia cuneata	
EUPHORBIACEAE FAGACEAE	*All species of Euphorbia that are succulent *Ouercus conevensis	
FOUQUIERIACEA LILLAČEAE	*Fouquieria columnaris (Species of Aloe not specified in Part III of First	
MELIACEAE NEPENTHACEAE	screenter "Swittenia humilis "Species of Nepenthes not specified in Part III of First Schedule	

PART III-PLANTS

	Common name		palm, butterfly	pursclancs	cyclamens pitcher-plant. California or western. cobra lilly
SECOND SCHEDULE—continued SPECIES THREATENED BY TRADE—continued PART 1—ANIMALS—continued PART 111—PLANTS—continued	Genus, species or sub-species	<ul> <li>Species of Orchidaceae (including subfamilies Apostasioidae and Cypripedioideae), other than—         <ul> <li>(a) species specified in Part III of First Schedule; and</li> <li>(b) species sub-species of which is specified in Part III of First Schedule</li> <li>(c) (sub-species of species of Orchidaceae a sub-species of which is specified in Part III of First Schedule, other than sub-species so constraint.</li> </ul> </li> </ul>	*Areca joot *Chrysafidocarpus decipiens *Neodypsis decaryi *Phoenix hanceana var. philippinenis	Satacta tertitristaria All species of Anacampseros "Lewisia cotyledon "Lewisia magurei "Lewisia serrata	<ul> <li>Lewisa tweedy</li> <li>All species of Cyclamen</li> <li>Darlingtonia californica</li> <li>"Darlingtonia californica</li> <li>"Species of Sarracenia other than—         <ul> <li>(a) Species specified in Part III of First Schedule: and</li> </ul> </li> </ul>
	Family	ORCHIDACEAE	PALMAE	PORTULACACEAE	PRIMULACEAE SARRACENIACEAE

<ul> <li>(b) Species a sub-species of which is specified in Part III of First Schedule.</li> <li>(Sub-species of species of Sarracenia a sub-species of which is specified in Part III of the First Schedule. other than the sub-species so specified.)</li> <li>*Prerygota excetsa (Basiloxylon excelsum)</li> <li>*Camelia chrysantha</li> <li>*Species of Zamiaceae not specified in Part III of Schedule 1</li> <li>*Guaiacum sanctum</li> </ul>	<ul> <li>Exclude- <ul> <li>(a) Seeds, spores and pollen (including pollinia); and</li> <li>(b) Tissue cultures and flasked seedling cultures</li> <li>Excludes- <ul> <li>(a) Seeds and pollen; and</li> <li>(b) Tissue cultures and flasked seedling cultures; and</li> <li>(c) Fruits and pollen; and</li> <li>(c) Fruits and flasked seedling cultures; and</li> <li>(c) Separate stem joints (pads) and parts and derivatives thereof of naturalised or artificially propogated <i>Opuntia</i> subgenus <i>Opuntia</i> species for lotter: and</li> <li>(a) Pollen; and</li> <li>(b) Tissue cultures and flasked seedling cultures; Excludes- <ul> <li>(a) Pollen; and</li> <li>(b) Tissue cultures and flasked seedling cultures; and</li> <li>(c) Fruits and parts and derivatives thereof of naturalised or artificially propogated <i>Opuntia</i> subgenus <i>Opuntia</i> species for and</li> <li>(b) Tissue cultures and flasked seedling cultures; and</li> <li>(c) Separate teaves and parts and derivatives thereof of naturalised or artificially propagated <i>Alor vera</i></li> </ul> </li> <li>(a) Foulds and pollen; and</li> <li>(b) Tissue cultures and flasked seedling cultures; and</li> <li>(c) Separate teaves and parts and derivatives thereof of naturalised or artificially propagated <i>Alor vera</i></li> <li>(c) Separate teaves and parts and derivatives thereof of naturalised or artificially propagated plants; and</li> </ul></li></ul></li></ul>
STERCULIACEAE THEACEAE ZAMIACEAE ZYGOPHYLLACEAE	<ul> <li>Excludes—         <ul> <li>(a) Seeds, spores and pollen (including pollinit (b) Tissue cultures and flasked seedling cultur Excludes—</li></ul></li></ul>

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Section 3 (1)		THIRD SCHEDULE SPECIES EXPLOITED BY TRADE PART I—ANIMALS Division 1—Class Mammalia	ы <b>с</b> і	
Order	Family	Genus, species or sub-species	Common name	Countries requiring export permit
ARTIODACTYLA	Bovidae	Antilope cervicapra Boocercus (Tragelaphus/Taurotragus) eurycenus Bubalus bubalis Damaliscus lumatus Gazella cuvien Gazella dorcas Gazella leptoceros Tetracerus quadricornis	blackbuck borgo. broad-homed or hamessed antelope buffalo, water antelope, four-homed	Nepal Ghana Nepal Schana Tunisia Nepal
CARNIVORA	Cervidae Hippopotamidae Protelidae Mustelidae Procyonidae	rus erasina is mayensis ibius andi) ti	stratunga deer, Brocket deer, white-tailed hippopotamus deer, mouse aardwolf tayra hurone. grison badger, honcy olingo ofingo coari , car', ring-tailed cacomistle	Conana Conana Guatemala Guatemala Ghana Botswana Honduras Costa Rica Costa Rica Costa Rica Costa Rica
CHIROPTERA EDENTATA	Viverridae Phyllostomidae Choloepidae Dasypodidae	nasua nasua sontana Potos flavus Givetticitá (Viverra) civetta Vampyrops lineatus Choloepus hoffmanni Cabassous centralis Cabassous tatouay (gymnurus)	coatmnundi tkirkapiou bat, white-lined sloth, two-toed armadillo, central American armadillo	Uruguay Honduras Botswana Uruguay Costa Rica Uruguay

PHOLIDOTA	Myrmecophagidae Manidae	Sub-species of Tamandua tetradactyla anteater, common. tamandua not specified in Part I of Second Schedule Manis gigantea pangolin, large African	anteater, common. tamandua pangolin, large African	Guatemala Ghana
		Manis tetradactyla (longicaudata) Manis tricuspis	pangolin pangolin, African tree	Ghana Ghana
PINNIPEDIA RODENTIA	Odobenidae Agoutidae Anomaluridae	Odobenus rosmarus Agouti (Cumiculus) paca Anomalurus beecrofti Anomalurus derbianus Anomalurus peli Idiurus macrotis	watrus agouti Aying squirrel Aying squirrel Aying squirrel	Canada Honduras Ghana Ghana Ghana
	Dasyproctidae Erethizontidae Erethizontidae	Dasyprocta punctata Sphiggurus (Coendou) mexicanus Sphigurus (Coendou) spinosus	agouti porcupine, Mexican porcupine, South Amercian	Honduras Honduras Uruguay
	Hystriciaae Sciuridae	rystrix cristata Epixerus ebii Sciurus deppei	porcupure, our word squirrel, African palm squirrel	Ghana Costa Rica
		Division 2-Class Aves		
Order	Family	Genus, species or sub-species	Common name	Countries requiring export permit
ANSERIFORMES	Anatidae	Alopochen aegyptiacus Anas acuta Anas capensis Anas (Spatula) clypeata Anas penelope Anas penelope Anas querquedula Ayttya (Nyroca) nyroca Cairina moschata Dendrocygna autumnalis	goose, Eygptian pintail teal, Cape shoveller, common teal, green-winged widgeon, European gargancy duck, ferruginous duck, red-billed whistling	Ghana Ghana Ghana Ghana Ghana Ghana Ghana Honduras Honduras

### Trade In Endangered Species

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	Countries requiring export permit	Chana, Honduras Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana Chana
ed ntinued ued nued	Common name	duck, fulvous whistling duck, white-faced whistling goose, African pygmy goose, African pygmy goose, spurwinged duck, Hartlaub's thickknee, Mexican heron, goilath egret, buff-heron heron, great white egret, buff-heron heron, great white egret, buff-heron heron, great white egret, buff-heron heron, great white egret, buff-heron hadad stork, marabou hadad bis, spotted-breasted bis, sadted-bill jabiru stork, marabou hadad bis, sadted-bill jabiru stork, marabou howe, feral rock pigeon, bronze-naped dove, feral rock pigeon, pink dove, red-cyed dove, red-cyed
THIRD SCHEDULE—continued SPECIES EXPLOITED BY TRADE—continued PART I—ANIMALS—continued Division 2.—Class Aves—continued	Genus, species or sub-species	Dendrocygna bicolor (fulva) Dendrocygna viduata Nettapus auritus Pteronoteta (Carima) hartlaubii Pteronoteta (Carima) hartlaubii Burhinus bistriatus Ardea goliath Bububus (Ardeola) ibis Casmerodius abus (Egretta alba) Egretta garzetta Egretta garzetta Columba (Turturoena) inditorques (or Columba (Turturoena) inditorques (or Columba micincta Nessenas (Columba) mayeri Orea capensis Streptopelia decipiens Streptopelia roscogrisea Streptopelia semitorquata
	Family	d Burhinidae Ardcidae Ciconiidae Threskiornithidae Columbidae
	Order	ANSERIFORMES—continued CHARADRIIFORMES CICONIFORMES COLUMBIFORMES

Ghana Ghana Ghana Ghana Ghana Ghana Ghana	Ghana Ghana Ghana Ghana	Ghana Honduras Costa Rica, Guatemala,	Guatomuas Honduras Honduras Guatemala Guatemala Guatemala Malaysia	Malaysia Malaysia Malaysia Malaysia Malaysia Malaysia Nepal Nepal Ghana
dove, laughing dove, turtle dove, vinaceous pigeon, African green pigeon, yellow-bellied green dove, blue-spotted wood dove, blue-headed wood	dove, tambourine turaco, great blue plantain-eater, grey	turaco, crested vulture, king curassow, globose Mexican or great	chachalaca, plain guan, crested guan, highland. black chachalaca guineafowl, white-breasted turkey, ocellated partridge, brown-breasted bill	partridge, chestnut-breasted bill partridge, ferruginous wood pheasant, crestelses fireback pheasant, trested fireback partridge, lagek wood peacock pheasant, Rothschild's partridge, crested wood tragopath, astyr weaver, cut-throat
Streptopelia senegalensis Streptopelia turtur Streptopelia turtur Streptopelia vinacea Treron waalia Turtur abyssinicus Turtur afer Turtur afer Turtur (Calopelia) brehmeri (or Calopelia puella)	Turtur (Tympanistria) tympanistria Corythaeola cristata Crinife piscator Musonhaea violacea	Tauraco macrorhynchos Sarcoramphus papa Crax rubra	Ortalis vetula Penelope purpurascens Penelopina nigra Agelastes meleagrides Agriocharis ocellata Arborophila brunneopectus (orientalie)	Arborophila charltonii Caloperdix oculea Lophura erythrophthalma Lophura ignita Melanoperdix nigra Polyplectron inopinatum Rhinchra longirostris Rullutus rouloul Tragopan sayra Amadina fasciata
	Musophagidae	Cathartidae Cracidae	Phasianidae	Estrildidae
	CUCULIFORMES	FALCONIFORMES GALLIFORMES		PASSERIFORMES

### PART I-ANIMALS-continued

		Division 2-Class Aves-continued	pənu	
Order	Family	Genus, species or sub-species	Common name	Countries requiring export permit
PASSERIFORMEScontinued		Amandava(Estrilda)subflavus)sebraSporaeginthus subflavus)Estrilda astrildwaxbill, commEstrilda astrildaestrilda troglodyteswaxbill, commEstrilda troglodyteswaxbill, commwaxbill, commEstrilda troglodytesmaxmerwaxbill, lavenEstrilda troglodytesfirefinch, blacllagonosticta vinacca)Lagonosticta rubricatafirefinch, barlLagonosticta rubricatafirefinch, barlLagonosticta rubricatafirefinch, barlLagonosticta rubricatafirefinch, barlLonchura (Spermestes) bicolormannekin, barlLonchura (Spermestes) bicolorbirlNigrita fisconolamannekin, barlNigrita fisconolanigrita fisconolaNigrita canicapillanigrita fisco	oflava (or waxbill, zebra waxbill, common waxbill, lauender waxbill, lauender waxbill, black-rumped irrefinch, black-raned firefinch, black-rated firefinch, black-rated firefinch, bar-breasted firefinch, bar-breasted firefinch, bar-breasted firefinch, bar-breasted firefinch, bar-breasted mannekin, bronze gilloides mannekin, magpie (Hypargos twin-spot, green-backed olive-back, grey-headed negrofinch, pale-fronted pusifinch, African publifrons) finch, African finch, Abie-breasted negrofinch, pale-fronted pusifinch, African	Ghana Ghana Ghana Ghana Ghana Ghana Ghana Ghana Ghana Ghana Ghana Ghana Ghana Ghana Ghana

		<ul> <li>Pholidomis rushiae</li> <li>Pytilia, Pyternestes</li> <li>Pytilia hytogrammica</li> <li>Pytilia hytogrammica</li> <li>Pytilia hytogrammica</li> <li>Spermophaga haematina</li> <li>Serinus fucopygius</li> <li>Serinus notambicus</li> <li>Serinus notambicus</li> <li>Serinus anotambicus</li> <li>Serinus anotambicus</li> <li>Serinus anotambicus</li> <li>Serinus anotambicus</li> <li>Serinus albirostris (niger)</li> <li>Bubalomis albirostris (niger)</li> <li>Bubaloticas (niger)</li> <li>Bubaloticas (niger)</li> <li></li></ul>	tit-hylia seedcracker, black-bellied Ghana	pytilia, red-faced Ghana	bluebill, western cordon-bleu, red-cheeked Ghana		T	p	canary, yellow-fronted blackbird, saffron-cowled Uruguay	_	flycatcher, Mascarene paradise Mauritius		Ĩ		ık I		led buffalo				tled	-	roated	-		weaver, red-héaded Ghana		malimbe, red-headed Ghana		sparrow, grey-headed Ghana	, lesser rock Chana	etmit.crowned
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Fringillidae Icteridae Muscicapidae

Pittidae Ploceidae

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		PART I-ANIMALS-continued	ned	
		Division 2-Class Aves-continued	pənu	
Order	Family	Genus, species or sub-species	Common name	Countries requiring export permit
PASSERIFORMES—continued		Ploceus albinucha Ploceus aurantius Ploceus cucullatus (nigriceps) Ploceus breugins (atrogularis) Ploceus breulus (atrogularis) Ploceus breulus (atrogularis) Ploceus migerrimus Ploceus migerrimus Ploceus perteni Ploceus preusi Ploceus preusi Ploceus preusi Ploceus preusi Ploceus preusi Ploceus tricolor Ploceus preusi Ploceus tricolor Ploceus tr	weaver, Maxwell's black weaver, orange weaver, rulage weaver, little masked weaver, black-headed weaver, black-headed weaver, golden-backed weaver, golden-backed weaver, yellow-mantled weaver, yellow-mantled weaver, speckle-fronted quelea, red-headed weaver, speckle-fronted indigobird, village whydah, bin-tailed paradise whydah, pin-tailed	Сћапа Сћапа Сћапа Сћапа Сћапа Сћапа Сћапа Сћапа Сћапа Сћапа Сћапа
		Vidua raricola	with unit, paratise	Ghana

SPECIES EXPLOITED BY TRADE—continued

THIRD SCHEDULE—continued

### PART I-ANIMALS-continued

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Ghana Ghana Guatemala Ghana Uruguay		Countries requiring export permit	India India India	Honduras Honduras	India India Honduras Honduras	Honduras Honduras Honduras India	Ghana Ghana Ghana Ghana Ghana Ghana
whydah, broad-tailed paradise indigobird, pale winged toucan, keefed-billed parakeet, rose-ringed rhea, common		Common name					
Vidua togoensis Vidua wilsoni Ramphastos sulfuratus Psitracula krameri Sub-species of Rhea americana not specified in Part I of Second Schedule.	Division 3-Class Reptilia	Genus, species or sub-species	Atretium schistosum Cerberus rhynchops Ptyas mucosus	Xenochrophus (Natrix) piscator Micrurus diastema Micrurus nigrocinctus	Naja naja Ophiophagus hannah Agustrodon bilineatus Bothrops asper Rothrone aspure	bothrops naturus Bothrops nummifer Bothrops schlegeli Eotralus durissus Vioera russellii	Pelomedusa subrufa Pelusios adansonii Pelusios castaneus Pelusios gabonensis (subniger) Pelusios niger Trionyx triunguis
Ramphastidae Psittaridae Rheidae		Family	Colubridae	Elapidae	Viperidae		Pelomedusidae Trionychidae
PICIFORMES PSITTACIFORMES RHEIFORMES		Order	SERPENTES				TESTUDINATA

	Countries requiring export permit	Nepal Nepal Nepal Nepal Nepal
RADE <i>—continued</i> ANTS	Common name	
SPECIES EXPLOITED BY TRADE—continued PART II—PLANTS	Genus, species or sub-species	Gnetum montanum Talauma hodgsonii Meconopsis regia Podocarpus neriifolius Tetracentron sinense
	Family	Gnetaceae Magnoliaceae Papaveraceae Podocarpaceae Tetracentraceae

THIRD SCHEDULE—continued